



Article

Using Remote Sensing to Quantify the Joint Effects of Climate and Land Use/Land Cover Changes on the Caatinga Biome of Northeast Brazilian

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Abstract: Caatinga biome, located in the Brazilian semi-arid region, is the most populous semi-arid region in the world, causing intensification in land degradation and loss of biodiversity over time. The main objective of this paper is to determine and analyze the changes in land cover and use, over time, on the biophysical parameters in the Caatinga biome in the semi-arid region of Brazil using remote sensing. Landsat-8 images were used, along with the Surface Energy Balance Algorithm for Land (SEBAL) in the Google Earth Engine platform, from 2013 to 2019, through spatiotemporal modeling of vegetation indices, i.e., leaf area index (LAI) and vegetation cover (V_C). Moreover, land surface temperature (LST) and actual evapotranspiration (ET_a) in Petrolina, the semi-arid region of Brazil, was used. The principal component analysis was used to select descriptive variables and multiple regression analysis to predict ET_a . The results indicated significant effects of land use and land cover changes on energy balances over time. In 2013, 70.2% of the study area was composed of Caatinga, while the lowest percentages were identified in 2015 (67.8%) and 2017 (68.7%). Rainfall records in 2013 ranged from 270 to 480 mm, with values higher than 410 mm in 46.5% of the study area, concentrated in the northern part of the municipality. On the other hand, in 2017 the lowest annual rainfall values (from 200 to 340 mm) occurred. Low vegetation cover rate was observed by LAI and V_C values, with a range of 0 to 25% vegetation cover in 52.3% of the area, which exposes the effects of the dry season on vegetation. The highest LST was mainly found in urban areas and/or exposed soil. In 2013, 40.5% of the region's area had LST between 48.0 and 52.0 °C, raising ET_a rates (~ 4.7 mm day⁻¹). Our model has shown good outcomes in terms of accuracy and concordance (coefficient of determination = 0.98, root mean square error = 0.498, and Lin's concordance correlation

coefficient = 0.907). The significant increase in agricultural areas has resulted in the progressive reduction of the Caatinga biome. Therefore, mitigation and sustainable planning is vital to decrease the impacts of anthropic actions.

Keywords: tropical dry forest; surface energy balance; Brazilian semi-arid; SEBAL; actual evapotranspiration

1. Introduction

The Caatinga biome occupies a large portion of the Brazilian semi-arid region. It has a high ecological diversity of plant species, and it is considered the largest in the world under semi-arid conditions [1,2]. It occupies an area of 900,000 km², which corresponds to approximately 70% of the northeast region of Brazil (NEB). However, only 7.5% of this habitat is protected by law [3–5]. The municipality of Petrolina, PE, Brazil, is located in the semi-arid region of the Caatinga biome. It is within the hydrographic basin of the São Francisco river, which favors the development of irrigated agriculture in the region, mainly fruit-growing (e.g., grapes and mangoes) [6–8], and it leads to positive socioeconomic implications, but also increases conflicts regarding water use [2,9].

Caatinga is located in the world's most populated dry area, with more than 53 million inhabitants and a population density close to 34 inhabitants per km². This biome has been affected by environmental degradation and loss of biodiversity over the last decades, mainly by the intensification of agriculture (e.g., rainfed and irrigated crops cultivation), urban expansion, and the advance of pasture areas replacing the natural vegetation [10–12]. Expanding agricultural activities has led to the deforestation of native areas, soil disturbance, changes in the hydrological cycle, and higher carbon emissions [13–15]. Together with climate changes, such as reduced rainfall and intensified drought events, this makes the Caatinga biome and the Brazilian ecosystem the most threatened and susceptible to desertification. Furthermore, these changes have been increasingly compromising natural resources and environmental sustainability [16–19] due to the changes in surface properties and biophysical variables, such as vegetation cover (V_C), land surface temperature (LST), and evapotranspiration (ET) [20–22]. ET is one of the main response parameters of vegetated areas as a function of local water conditions, and it is also an important component of the hydrological cycle [23,24].

Therefore, monitoring physical–water indicators of environmental change conditions, such as the loss of biodiversity of biomes and land use and occupation, is vital in managing scarce water resources. Furthermore, these indicators may be helpful in the planning of agricultural activities, as well as in the management of dry areas and the sustainable use and management of natural resources [17,25–30].

In this scenario, remote sensing has been used as a tool that presents fast and low operational costs, being efficient in calculating the biophysical parameters used in the energy, water, and vegetation balances (e.g., [31–33]). In recent years, remote sensing has also been considered a good alternative for replacing expensive and difficult-to-obtain equipment used in in situ studies [34]. Furthermore, the modeling used in these balances is performed with the help of algorithms, which are essential techniques in the extraction of information from satellite images on a regional and global scale [27,28,33,35]. It may have its efficiency improved by the use of open-source cloud programming languages, e.g., Google Earth Engine (GEE). In GEE, the user can effectively implement algorithms and process large data volumes [36].

In this context, there are several surface energy balance models, such as Mapping EvapoTranspiration at high Resolution with Internalized Calibration (METRIC), Surface Energy Balance System (SEBS), Simple Algorithm for Evapotranspiration Retrieving (SAFER), Atmosphere–Land Exchange Inverse (Alexi), and the Energy Balance Algorithm for Land (SEBAL), based on remote sensing data. The approach is substantiated on biophysical parameters, such as LST, albedo, Normalized Difference Vegetation Index (NDVI), and

emissivity, that are crucial for estimating ET [16,37–42]. The SEBAL algorithm has been widely and successfully applied to various world ecosystems, including semi-arid conditions in Brazil [43–46]. Moreover, this method seeks to eliminate the propagation of errors in the partitioning of the energy balance and the need for atmospheric correction in the estimate of surface temperature. These interactions allow the generation of the sensible heat flux corrected for atmospheric stability and instability conditions [47–51]. NDVI is one of the most widely used indices in the literature for vegetation cover analysis, environmental degradation, and vegetation primary production resilience, and it also helps in monitoring agricultural crops, forest ecosystems, and drought assessments [52–55]. With applications in several countries, Bastiaanssen et al. [49] have obtained highly accurate results using the SEBAL algorithm on different vegetated surfaces with forests, agricultural crops (irrigated and rainfed), and even extreme landscapes, such as desert areas. Previous studies have shown that the SEBAL can be used in Petrolina, PE, Brazil, for the algorithm has already been calibrated and validated for the region in various ecosystems with good agreement between orbital images and field measurements [44,56–59].

Based on the above, the main objective of this paper is to determine and analyze the changes in land cover, land use, and occupation on biophysical parameters in the Caatinga biome in the semi-arid region of Brazil. In the present study, the changes were assessed from 2013 to 2019 using Landsat imagery, and biophysical parameters (net radiation, energy balance, LAI, V_C , ET_a , and LST) were estimated by utilizing remote sensing. Additionally, the SEBAL model was applied to determine the surface energy balance in different vegetation environments. After applying the model, the dataset provided by SEBAL allowed us to determine the turbulent fluxes and actual evapotranspiration (ET_a) of the different land use and land cover (LULC) types. Thus, through the SEBAL products, the spatiotemporal variation patterns of ET_a in agricultural and forestry areas were evaluated.

2. Materials and Methods

2.1. Study Area

The study was carried out in the municipality of Petrolina, located in the State of Pernambuco, Brazil. The region comprises the domain of the Caatinga biome and it belongs to the semi-arid region of the sub-mean of the São Francisco Valley. The town is considered the largest fruit-growing center of the Brazilian semi-arid region due to the easy access to the São Francisco river, which supplies the irrigated perimeters. The municipality comprises a territorial area of 4561.870 km² (Figure 1), with an estimated population of 349,145 inhabitants [38].

A characteristic of the Caatinga biome is the presence of different floristic mosaics, consisting of an area of tree and shrub vegetation, which presents its distribution conditioned to climatic and environmental variations, especially rainfall intensity and frequency [60], as well as geological configurations and soil properties [5]. The vegetation of this biome presents deciduous species adapted to water deficit conditions and with expressive biomass production in rainy seasons, resulting from the local climatic conditions [40,61]. The canopy cover of the species of the Caatinga biome presents discontinuous characteristics, making possible the soil exposure in dry periods, presence of herbaceous stratum, cactus species, and shrubs [23,61]. It is worth noting that this region presents an expressive modification of the native landscape (Caatinga) in areas of irrigated agricultural cultivation.

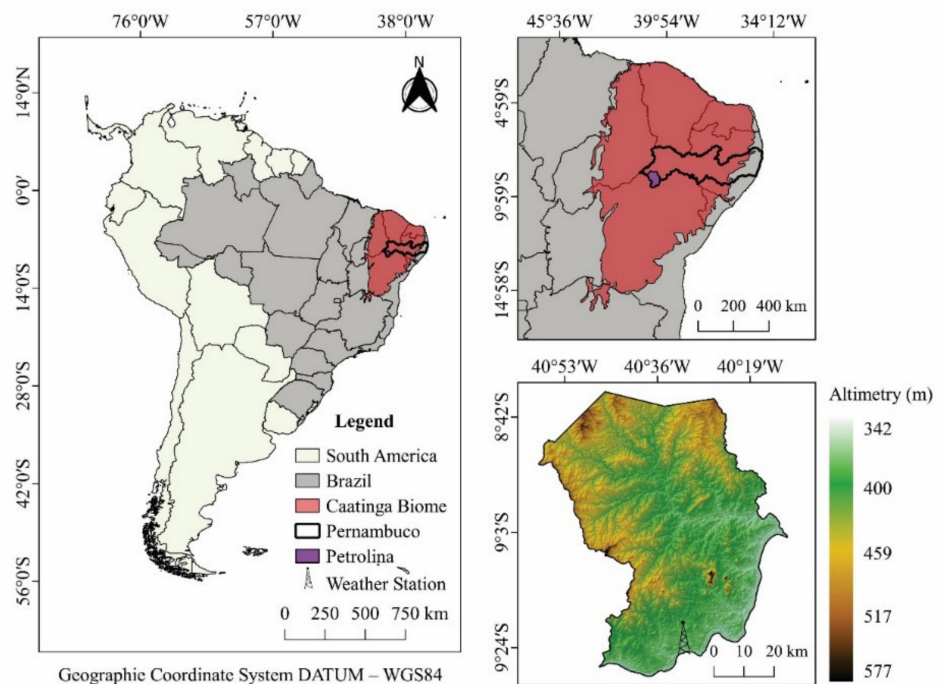


Figure 1. Spatial location of the study area, municipality of Petrolina, Pernambuco, Northeast Brazil.

According to the Köppen–Geiger climate classification, the region’s climate is of the BSh type, characterized as semi-arid tropical, with an average air temperature of 26.4 °C, average relative humidity of 62%, and annual rainfall of 520 mm [62,63]. Rainfall pattern is irregular throughout the year, resulting from its geographical location and the Intertropical Convergence Zone (ITCZ) influence, with rainfall predominating from February to May [10,64,65]. The predominant soils in the municipality are Typic Quartzipsamment, Ultisol Plinthic, Arenosol, and Haplic Acrisol [66–68].

2.2. Satellite Images and Weather Datasets

Annual records of average air temperature (°C), global radiation (MJ m^{-2}), relative humidity (%), atmospheric pressure (kPa), wind speed (m s^{-1}), and rainfall (mm) were obtained from the database of the National Institute of Meteorology [69] (Figure 2). The average air temperature (T_a) ranged between 24.1 and 30.7 °C, in which 2015 and 2019 were the warmest years studied (T_a 28 °C). Overall, November, December, January, February, and March presented T_a values above 28 °C (Figure 2).

The years 2013 (334.4 mm) and 2019 (221.6 mm) showed the highest rainfall rates. Most of the rainfall recorded for Petrolina was concentrated from December to March (Figure 2). Such climatic conditions concerning the municipality were also reported in the literature (e.g., [2,70]).

Rainfall data corresponding to the 30 days prior to the four imaging dates studied used were obtained from the Climate Hazards Group InfraRed Precipitation with Station (CHIRPS). CHIRPS are new precipitation products covering the coordinates 50°S–50°N and 180°E–180°W, with 0.05° (± 5.3 km) spatial resolution and daily to seasonal, temporal resolutions, available worldwide since 1981 [71]. CHIRPS data were extracted from the Google Earth Engine platform (<https://earthengine.google.com/>, accessed on 20 August 2021) using JavaScript programming language. Then, they were exported in spreadsheet format (*.xls), using the dataset since 1981 from the collection ee.ImageCollection (“UCSB-CHG/CHIRPS/DAILY”).

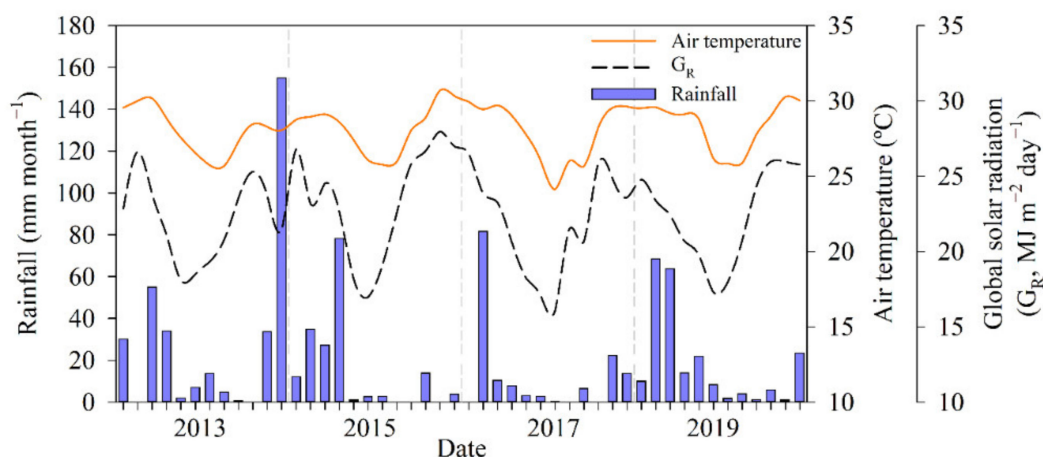


Figure 2. Monthly meteorological variations (rainfall, average air temperature, and global solar radiation) of the municipality of Petrolina, Brazil, from 2013 to 2019.

We used Operational Land Imager (OLI) Collection 1 Level 1 bands 2 (0.450–0.51 μm), 3 (0.53–0.59 μm), and 4 (0.64–0.67 μm) in the visible spectrum, 5 (0.85–0.88 μm) in the near-infrared, and 6 (1.57–1.65 μm) and 7 (2.11–2.29 μm) in the shortwave infrared, all with a spatial resolution of 30 m, as well as band 10 from the Thermal Infrared Sensor (TIRS) with a 100 m spatial resolution. Besides this, we used four Landsat-8 OLI/TIRS images, path 217 and row 66, corresponding to the years 2013, 2015, 2017, and 2019 (for the dates and times of the satellite overpass, see Table 1). The choice criteria adopted were the absence of clouds (10%) and the images corresponding to the transition period between the dry and rainy seasons in the region under study, from the years of 2013 to 2019. This period presented severe and extreme drought events in the northeast [23,72]. All the images were obtained from the United States Geological Survey (USGS) platform (<https://earthexplorer.usgs.gov/>, accessed on 10 August 2021) and processed through the Land Surface Reflectance Code (LaSRC).

Table 1. Date of the Landsat-8 satellite pass, followed by the Julian day (JD), Earth–Sun distance (d_r , astronomical units—AU), local time of the equator pass (h, hour; min, minutes), zenith angle (θ , $^\circ$), solar elevation angle (E , $^\circ$), and sun azimuth angle (φ , $^\circ$) for the municipality of Petrolina, Pernambuco, Brazil.

Acquisition Date	JD	d_r	Local Time	θ	E	φ
5 October 2013	278	0.99	9 h 49 min a.m.	0.90	65.12	82.93
12 November 2015	316	0.99	9 h 48 min a.m.	0.90	64.85	113.46
16 October 2017	289	0.99	9 h 48 min a.m.	0.91	65.81	92.82
7 November 2019	311	0.99	9 h 48 min a.m.	0.90	65.41	110.36

Note: zenith angle (θ) = $\sin(E)$. Source: USGS/NASA [73].

2.3. Vegetation Indices

The Normalized Difference Vegetation Index (NDVI) was calculated to represent the amount and quality of vegetation present on the surface, characterized as an indicator of wet conditions, calculated using Equation (1).

$$\text{NDVI} = \frac{\rho_{\text{NIR}} - \rho_{\text{Red}}}{\rho_{\text{NIR}} + \rho_{\text{Red}}} \quad (1)$$

where ρ_{NIR} and ρ_{Red} are the reflectances measured in the near-infrared and red bands (i.e., Landsat-8 multispectral bands 5 and 4 of the OLI sensor), respectively, they range from -1 to $+1$. Values close to 1 on a positive scale correspond to high photosynthetic activity, and when negative, generally correspond to water bodies.

Based on the NDVI, we calculated the vegetation cover (V_C) of the study area (Equation (2)), according to Gao et al. [54].

$$V_C = \frac{NDVI - NDVI_S}{NDVI_V - NDVI_S} \cdot 100 \quad (2)$$

where V_C is the vegetation cover, $NDVI_S$ is the minimum NDVI value from bare soil pixels obtained in the study area, and $NDVI_V$ is the maximum NDVI value found in vegetated areas, i.e., from fully vegetated pixels. The $NDVI_S$ and $NDVI_V$ used to calculate V_C were obtained from the domain of each NDVI image percentile map obtained from the NDVI histograms.

Soil-Adjusted Vegetation Index (SAVI) was calculated to observe the vegetation cover of the area (Equation (3)).

$$SAVI = \frac{(1 + L) \cdot (\rho_{NIR} - \rho_{Red})}{(L + \rho_{NIR} + \rho_{Red})} \quad (3)$$

where L is the adjustment factor to the soil, which varies between 0 and 1. The value 0 does not reach change, and resembles the NDVI. In areas with low-density vegetation, the value 1 is assigned; for intermediate-density vegetation areas, the value of 0.5; and for areas with high-density vegetation, the value 0.25 is assigned [74]. The adjustment factor of 0.5 was adopted due to the study region indicating an intermediate vegetation coverage in most of the year, with predominant vegetation of the Caatinga biome, in the Brazilian semi-arid region [75–77].

To evaluate changes in vegetation biomass, the leaf area index (LAI, $m^2 m^{-2}$) was determined (Equation (4)), a fundamental biophysical variable for monitoring studies of agricultural land and vegetation moisture conditions [50].

$$LAI = \frac{-\ln\left(\frac{0.69 - SAVI}{0.59}\right)}{0.91} \quad (4)$$

2.4. Methodology for Estimating Evapotranspiration Using Satellite Images

Evapotranspiration was estimated using the Energy Balance Algorithm for Land (SEBAL). For this, routine meteorological data and spectral bands from the Landsat-8 satellite were used. The SEBAL algorithm was implemented using JavaScript code through the Google Earth Engine (GEE) platform. The data were exported in spreadsheet format (*.xls). SEBAL uses mathematical modeling and operations to calculate the surface energy balance components and determine evapotranspiration. Thus, energy balance components are computed pixel-by-pixel, as described in Figure 3. Here, we show that the algorithm has a good performance and high accuracy, as well as being calibrated and validated with simultaneous field and Landsat satellite measurements [47–49,56,59,61,78,79].

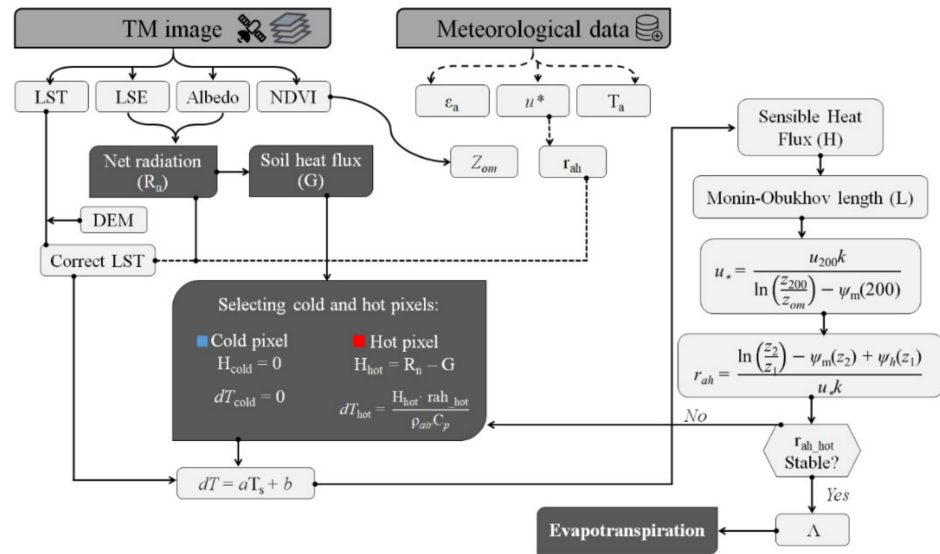


Figure 3. Flowchart of the SEBAL model for estimating evapotranspiration. Note: LST and LSE are the land surface temperature and land surface emissivity, respectively, NDVI is the Normalized Difference Vegetation Index, ϵ_a is the atmospheric emissivity, u^* is the friction velocity, T_a is the air temperature, R_n is the net radiation, G is the soil heat flux, z_{om} is the momentum roughness length, z_1 and z_2 are the two heights between the surface of the anchor pixels, r_{ah} is the near-surface aerodynamic resistance to heat transport, DEM is the digital elevation model, dT is the near-surface air temperature gradient, a and b are the calibration coefficients, LST or T_s is the land surface temperature, ρ_{air} is the air density, C_p is the specific heat of air, H is the sensible heat flux, ψ_m and ψ_h are the stability correction factors for momentum and sensible heat, respectively, k is the von Karman constant, u_{200} is the wind speed at the height of 200 m, and Λ is the evaporative fraction. These pre- and processing steps were performed inside Google Earth Engine (GEE) cloud platform. The acronyms and symbols used in this study are summarized in the Abbreviations section.

Surface Albedo Adjustment

The surface albedo (α_{sup}) corresponds to a measure of the reflectivity of the Earth’s surface, for each pixel, with atmospheric correction obtained according to Equation (5) [50,80].

$$\alpha_{sup} = \frac{\alpha_{toa} - \alpha_{path}}{\tau_{sw}^2} \tag{5}$$

where α_{toa} is the albedo at the top of the atmosphere, that is, before atmospheric correction, α_{path} is the atmospheric reflectance (set to 0.03, as used by Silva et al. [80]), and τ_{sw} is the atmospheric transmissivity for clear sky conditions, according to Equation (6) [50,80]:

$$\tau_{sw} = 0.35 + 0.627 \cdot \exp \left[\frac{-0.00146 \cdot P_a}{K_t \cdot \cos(\theta)} - 0.075 \left(\frac{W}{\cos(\theta)} \right)^{0.4} \right] \tag{6}$$

where P_a is the atmospheric pressure (kPa), with dataset available freely in <https://portal.inmet.gov.br/> (accessed on 10 August 2021), K_t is the turbidity coefficient of the atmosphere ($K_t = 1.0$, for a clear sky day), according to Allen et al. [47] and Silva et al. [80], θ is the solar zenith angle, and W is the precipitable water (mm), estimated from Equation (7) [81].

$$W = 0.14 \cdot e_a \cdot P_a + 2.1 \tag{7}$$

where e_a is the actual atmospheric water vapor pressure (kPa), estimated from Equation (8).

$$e_a = \frac{HR \cdot e_s}{100} \tag{8}$$

where HR is the instantaneous relative humidity (%), and e_s is the water vapor saturation pressure (kPa), estimated from Equation (9).

$$e_s = 0.6108 \cdot \exp\left(\frac{17.27 \cdot T_0}{237.3 + T_0}\right) \quad (9)$$

where T_0 is the instantaneous air temperature ($^{\circ}\text{C}$) at the moment of the satellite pass.

For obtaining α_{toa} , a linear combination of the spectral reflectance of the six reflective OLI bands was performed according to Equation (10) [80]:

$$\alpha_{toa} = 0.300 r_2 + 0.277 r_3 + 0.233 r_4 + 0.143 r_5 + 0.036 r_6 + 0.001 r_7 \quad (10)$$

where $r_2, r_3, r_4, r_5, r_6,$ and r_7 are the surface spectral reflectances for bands 2, 3, 4, 5, 6, and 7 of the Landsat-8 OLI, respectively.

We use Equation (11) to obtain each of the spectral reflectances.

$$r_b = \left(\frac{\text{Add}_b + \text{Mult}_b \cdot \text{DN}}{\cos(\theta) \cdot \text{dr}}\right) \quad (11)$$

where the terms Add_b and Mult_b belong to the radiometric rescaling group, specifically $\text{reflectance_add_band}$ (equal to -0.1) and $\text{reflectance_mult_band}$ (equal to 0.00002), respectively, presented in the metadata of each OLI—Landsat-8 image, DN is the digital number value corresponding to the pixel, θ is the solar zenith angle at the data acquisition time, and dr is the Earth–Sun distance in astronomical units.

2.5. Determination of Surface-energy Partitioning

Based on the surface energy balance components, the evaporative fraction was determined. Initially, the surface radiation balance or net radiation— R_n was calculated, which is distributed by the energy partitioning in front of the sensible heat fluxes— H , latent— LE , and soil heat flux— G [47–50,61,78,79]. By performing this process, a linear relationship between the surface and air temperature gradient was considered to exist. From this relationship and the internal calibration process for extreme conditions such as temperature and humidity, it was established the need for obtaining the knowledge of the so-called “anchor pixels”, i.e., hot and cold pixels, which are indicative of zero and maximum evapotranspiration, respectively [47,48,61] (Figure 3).

The land surface temperature (LST) in K (Kelvin) was obtained using the spectral radiance in band 10 of the TIRS sensor and the emissivity in the nearest band— ϵ_{nb} by the modified Planck’s Law [82], as described in Equation (12).

$$\text{LST} = \frac{K_2}{\ln\left(\frac{\epsilon_{nb} \cdot K_1}{L_{10}} + 1\right)} \quad (12)$$

where K_1 and K_2 are radiation constants specific for the Landsat-8 TIRS band 10, equaling $774.89 \text{ W m}^{-2} \text{ sr}^{-1} \mu\text{m}^{-1}$ and 1321.08 K , respectively, provided by NASA/USGS; and L_{10} is the radiance at the wavelength received by the sensors (band 10, the thermal band).

The ϵ_{nb} was calculated based on the LAI for each pixel according to Equation (13) [41].

$$\epsilon_{nb} = 0.97 + 0.0033 \cdot \text{LAI} \quad (13)$$

Initially, the temperature variation and aerodynamic resistance to heat transport in all pixels of the study area (Petrolina, Pernambuco) were determined. The atmosphere was initially assumed to be in a neutral stability condition. For this study, the hot pixel was considered in the exposed soil plots (i.e., no vegetation cover and/or little vegetation and low moisture content), assuming LE equal to zero. The cold pixel was considered in grape orchard plots irrigated by micro-sprinklers, when H can be considered zero [47,48,61,78,79,83] (see Figure 3). Since turbulent effects affect atmospheric conditions and air resistance, the

Monin–Obukhov similarity theory was applied and considered in the computation of H in all pixels of the study area. It is worth noting that the Monin–Obukhov length was used for corrections to the initial stable condition of the atmosphere [47–49,78,79].

Calculation of Energy Fluxes (H and LE) and Evaporative Fraction

The sensible heat flux (H) in SEBAL is calculated using an iterative procedure from the aerodynamic function (Equation (14)) [47–49,78,79].

$$H = \rho_{air} \cdot C_p \cdot \frac{(a + b \cdot LST)}{r_{ah}} \quad (14)$$

where ρ_{air} is the moist air density (kg m^{-3}), C_p is the air specific heat at constant pressure ($1004 \text{ J kg}^{-1} \text{ K}^{-1}$), a and b are calibration constants of the temperature difference between two heights (i.e., between the roughness length for heat transfer and the reference height, usually 0.1 and 2.0 m above the displacement plane), and r_{ah} is the near-surface aerodynamic resistance to heat transport (s m^{-1}). Fundamentally, the coefficients a and b are determined through an internal calibration for each satellite image by interactive processes. We consider extreme pixels of wet/cold and dry/hot spots. They were selected to develop a linear relationship between the aerodynamic temperature of the surface and the air temperature difference, and the LST.

By knowing the components of the surface energy balance, such as the net radiation (R_n , W m^{-2}), sensible heat flux (H , W m^{-2}), and soil heat flux (G , W m^{-2}), the latent heat flux (LE , W m^{-2}) was determined, both corresponding to the time of the satellite pass over the study area, according to Equation (15).

$$LE = R_n - H - G \quad (15)$$

Subsequently, we determined the evaporative fraction (Λ) according to Equation (16).

$$\Lambda = \left(\frac{LE}{R_n - G} \right) \quad (16)$$

2.6. Estimate of ET_a Using SEBAL Method

Finally, as a SEBAL product, we determine the actual evapotranspiration (ET_a , mm day^{-1}) [84] based on Equation (17) below, for each satellite image used. In this study, the implemented SEBAL model had already been extensively validated and calibrated under forests and agricultural land conditions [56,61,85].

$$ET_a = \left(\frac{\Lambda \cdot R_{n24} \cdot 86,400}{\lambda} \right) \quad (17)$$

where R_{n24} is the daily net radiation (W m^{-2}), 86,400 is a constant for daily timescale conversion (i.e., converts from seconds to days), and λ is the latent heat of vaporization of water (J kg^{-1}). Then, the latent heat of vaporization allows the ET_a expression in mm day^{-1} . Hence, accurate estimation of R_{n24} (Equation (18)) was determined according to Bastiaanssen et al. [49], and Lee and Kim [86]:

$$R_{n24} = \Lambda \cdot [(1 - \alpha_{sup}) \cdot R_n - a \cdot \tau_{sw}] \quad (18)$$

where a is a regression coefficient of the relationship between net longwave radiation and atmospheric transmissivity on a daily scale, to which we assigned the value 143, as proposed by Teixeira et al. [61]. The acronyms and symbols used in this study are summarized in the Abbreviations section.

2.7. Land Use and Land Cover Dynamics

In the present study, we sought to evaluate the impacts of changes in land use and land cover (LULC) under the biophysical variables in the municipality of Petrolina. Thus, we performed the extraction of descriptive statistical parameters (i.e., minimum, maximum, mean, median, and standard deviation) for the variables LAI, V_C , ET_a , LE , H , LST, emissivity, and R_n in each class of LULC, from the maps available on the MapBiomass Brazil platform [87]. This collection is highly reliable and it includes annual land use and land cover (LULC) data for the period 1985–2019 and it prioritizes the following classes: (1) arboreal Caatinga, (2) shrub Caatinga, (3) herbaceous Caatinga, (4) pasture, (5) agriculture, (6) mosaic of agriculture and pasture, (7) urban area, and (8) water bodies with a spatial resolution of 30 meters [27,88].

The MapBiomass classification is a hierarchical system combining land use and land cover. This classification is based on a pixel-by-pixel classification of Landsat images, where we use the machine learning algorithm random forest. Thus, the LULC classes for 2013, 2015, 2017, and 2019 were converted from raster files to vector files. We use the “polygonise” tool, which transforms classes into polygons with the help of QGIS 3.16 software [89]. After the new datasets were converted, the vector files were used to extract the statistical parameters by using the “zonal statistics” tool of the QGIS 3.16 software [89]. This procedure provided a basis with greater data amplitude and greater coverage of the region’s surface under study, making it possible to compare the different land use and land cover types. In addition, as a result of this process, four maps were generated, indicating the land use and land cover changes.

2.8. Statistical Analyses

In this study, we applied a principal component analysis (PCA) on the biophysical variables—e.g., LAI, V_C , ET_a , LE , H , LST, albedo, emissivity, and R_n —for 2013, 2015, 2017, and 2019. Principal component analysis was performed to reduce the large dataset and convert the data series into sets of uncorrelated linear values without losing relevant information [35,65]. PCA consists of computing the eigenvalues and eigenvectors of the covariance matrix. Moreover, in the generation of PCA, diverse components are created, and the first component is the most important, as it explains the highest percentage of the data variance, while the later components created in the analysis represent a lower variation of the data. Thus, the data were standardized, subtracting the minimum values from each value and dividing by their interval in order to obtain the maximum amount of information extracted. Only eigenvalues greater than 1.0 were taken into account, for they present expressive information from the newly created dataset [90]. The generated loadings indicate the relative importance of a given raw variable from the data sample in the principal component (PC) [65]. Moreover, 400 random points were generated to compose the data of our regression model. One hundred random points were generated across the study area (for variables LST, H , and ET_a using SEBAL) for each assessment date [2] through the QGIS (e.g., using the “random points inside polygon” and “point sampling” functions [89]).

Subsequently, we built a multiple linear regression model to determine ET_a (predictand) in a simplified way. The selected variables were LST and H (predictors) due to their strong correlation over the years with land use and land cover classes (results obtained using PCA). This type of regression model assumes that there is a linear relationship between the response variable y and the predictor variables (e.g., x_1, x_2, \dots, x_n); it may be described as follows: $y = \beta_0 + \beta_1x_1 + \beta_2x_2 + \dots + \beta_nx_n + \varepsilon_{rr}$, wherein β_0 is the constant value, β_1, \dots, β_n are regression coefficients, and ε_{rr} is the random error. The threshold for predictor variable inclusion was $p < 0.05$.

Goodness of Fit

The model performance analysis was based on the root mean square error (RMSE, the values close to zero reduce error and increase accuracy), the mean absolute error

(MAE), percent bias (PBIAS), Nash–Sutcliffe efficiency coefficient (NSE), Lin’s concordance correlation coefficient (LCCC), Willmott’s index of agreement (d), which ranges from 0 to 1 (i.e., indicates no agreement at all and perfect agreement, respectively) [91–94], and coefficient of determination (R^2 , i.e., indicates the model’s accuracy in the prediction of response for a dataset) above 0.90. These metrics allowed us to evaluate the models’ quality and enabled the extraction of relevant information in terms of applicability. Therefore, the lower the PBIAS and RMSE values, the better the model prediction performance. Furthermore, NSE is a reliable criterion for evaluating the model’s predictive ability. We also used LCCC to measure precision and accuracy between predicted and measured values. Moreover, it indicates the degree of agreement between two methods by measuring the variation of their linear relationship from the 45-degree line through the origin. Thus, good models have LCCC equal or close to 1 [92–94]. Additionally, we applied analysis of variance (ANOVA) and the F -test ($p < 0.05$, Fisher’s F -test) to investigate the statistical significance between ET_a values measured by the SEBAL algorithm and predicted by the model proposed in this study. All statistical analyses were performed using the R software [95].

3. Results and Discussion

3.1. Comparisons of Land Use and Land Cover (LULC)

Based on the classification via the MapBiomias platform and on the Landsat-8 satellite, the thematic maps of land use and land cover for the years 2013, 2015, 2017, and 2019 were highlighted, with the main classes represented in Figure 4.

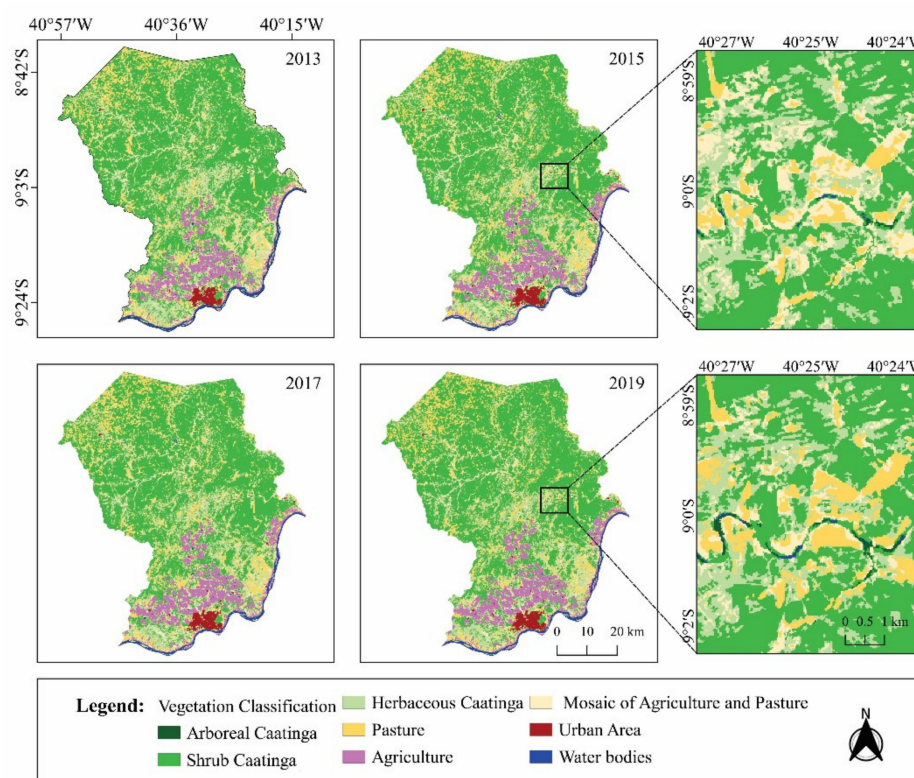


Figure 4. Thematic classification of cover and land use and occupation from MapBiomias between the years 2013 and 2019 for Petrolina, Pernambuco, Brazil.

The advances in exploration of agricultural areas for the municipality of Petrolina occurred mainly in areas nearby the São Francisco river, with a predominance of irrigated fruit farming. Moreover, the irrigated perimeters “Senador Nilo Coelho” and “Bebedouro” in these areas are located there, which, in the 1970s with the construction of the Sobradinho dam, boosted the exploitation and change in land use, impacting the Caatinga [37,96].

In 2013, 70.20% of the area (320,265.44 ha) of Petrolina was composed of Caatinga, being 51.60% shrub, 18.48% herbaceous, and the other 0.12% arboreal (see Figure 4 and Table 2).

Table 2. Annual quantification of land use and land cover conditions from 2013 to 2019 for the semi-arid region of Petrolina, Pernambuco, Brazil.

Classes	Annual Quantification of Land Use/Land Cover Types (ha year ⁻¹)			
	2013	2015	2017	2019
Arboreal Caatinga	540.96	356.43	467.77	878.15
Shrub Caatinga	235,450.18	233,919.88	234,688.22	238,445.74
Herbaceous Caatinga	84,274.3	74,985.47	78,138.28	78,797.94
Pasture	53,346.49	55,356.09	60,156.62	60,176.26
Agriculture	31,919.62	34,209.91	35,678.87	36,718.13
Mosaic of Agriculture and Pasture	39,420.48	45,494.96	34,884.41	29,371.88
Urban area	4855.57	5496.18	5829.13	5825.78
Water bodies	6375.49	6364.17	6339.79	5969.21
Total	456,183.09	456,183.09	456,183.09	456,183.09

Source: Adapted from MapBiomias Brazil.

The lowest percentages of areas occupied by Caatinga vegetation were observed in 2015 (67.8%) and 2017 (68.7%), respectively. Compared to 2013, there was an average reduction of 2%, equivalent to 9123.65 ha of native forest (Caatinga), while in 2019, the reduction was only 0.45% (Table 2). It is important to emphasize that the reduction in Caatinga refers to herbaceous native vegetation. Such phenomena are related to the increase in areas of agriculture, pasture, and urban infrastructure, along with the occurrence of lower rainfall rates recorded between 2015 and 2017. However, between 2013 and 2019, an increase of 0.07 and 0.66% was observed in the native trees and shrubs vegetation areas, respectively (Figure 4 and Table 2). Furthermore, the expansion of arboreal vegetation areas occurred close to water bodies; on the other hand, the expansion of shrub vegetation occurred to replace areas previously occupied by agricultural activities (3234.56 ha), mainly pasture or in the ecological succession of areas of herbaceous vegetation (3679.17 ha) (Supplementary Table S1 and Figure S1). Silva et al. [97] studied the spatial-temporal evolution of agricultural activity in the Brazilian semi-arid region from 1998 to 2018, seeking to investigate the resilience of the Caatinga biome during the dry season. The authors mention that the expansion of the Caatinga biome occurred mainly with the reduction of agricultural activity, which reinforces the results obtained in the present study.

In 2013, the areas occupied with pasture represented 11.7%, agriculture 7.0%, and the mosaic of agriculture and pasture 8.64%, while areas with urban infrastructure and water bodies presented an occupation of 1.06 and 1.40%, respectively (Figure 4 and Table 2). From 2013 to 2019, there was an increase in areas with agricultural activity in the region, while a decrease in native herbaceous vegetation (Caatinga) was observed, which caused the conversion of 10,972.34 ha of native herbaceous vegetation to areas of agricultural activity (see Supplementary Table S1 and Figure S1). The types of LULC indicated the different intensities of human activities in the area. Several authors have reported the occurrence of severe drought events in NEB in the period between 2012 to 2016, in addition to the intensification of anthropic activities in the study region [10,23,27,72,98,99]. Those factors are responsible for significant changes in the phytosociology and floristic composition of the biome [10,23,27,72,98,99].

Drought is costly to Brazil, for it causes strong impacts on agriculture and cattle ranching. For example, the 2012–2013 drought resulted in economic losses of USD 1.5 billion for more than ten important crops in the region and USD 1.6 billion in cattle mortality [100]. Furthermore, Marengo et al. [10] emphasize that among adverse natural climate phenomena,

drought is the factor that most affects society, as it impacts large territorial extensions of NEB, with intensified and increasingly long-lasting events over the years.

The agricultural and cattle ranching activity were significant in 2015, 2017, and 2019 with 29.6, 28.7, and 27.7%, respectively. Among the agricultural activities, the class of pastures (in this study, there is no detailed distinction between irrigated and non-irrigated pasture areas) was responsible for the largest occupied area, with values of 55,356 ha in 2015; 60,156 ha in 2017, and 60,176 ha in 2019 (see Table 2). The expansion of pasture areas resulted from the growing demand for food and for animal production, which favored changes in land use and occupation and, consequently, it increased the deforestation rate of the Caatinga biome [19]. Fleischer et al. [101] reported that the constant changes in land use and land cover might cause a significant impact on the flux and carbon stock since they are responsible for changing the sink capacity or carbon source of the ecosystem.

The agriculture class in 2015, 2017, and 2019 showed territorial occupation of 34,209, 35,678, and 36,718 ha, respectively, with an average increase of 0.80% (3616 ha) compared to 2013 (Table 2). This growth was favored by the development of irrigated agriculture in the region, due to the proximity of the São Francisco river's channel, maximizing mainly fruit farming, e.g., grape and mango [6,7]. Most of the times, irrigated fields are inserted in areas previously occupied by Caatinga, which results in changes in the physical, chemical, and biological soil properties [6,66]. In addition, changes in greenhouse gas (GHG) emission fluxes resulting from anthropogenic modifications on native vegetation are also reported in the literature [19,101].

3.2. Rainfall Variability in Land Cover Classes

Analyzing the spatiotemporal rainfall dynamics in the region over the 30 days preceding the passage of the Landsat-8 satellite on the four assessment dates (5 October 2013, 12 November 2015, 16 October 2017, and 7 November 2019) allowed us to observe the variation in rainfall distribution and volume, whose average accumulated values were 5.63, 1.7, 0.59, and 10.4 mm, for the respective dates. Despite the low rainfall volume observed in the region, some areas showed a tendency for higher rainfall occurrence, which may be conditioned to the native vegetation and orographic effects of the region (Figure 5).

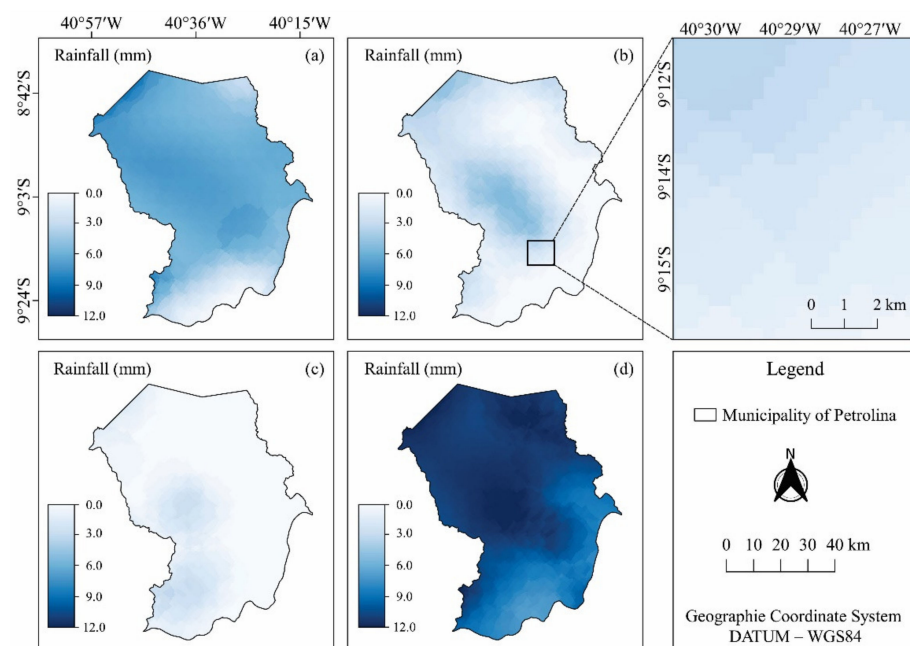


Figure 5. Spatiotemporal rainfall distribution (mm) for the CHIRPS satellite product in the municipality of Petrolina, Pernambuco, Brazil, in the 30-day period before the imaging dates 5 October 2013 (a), 12 November 2015 (b), 16 October 2017 (c), and 7 November 2019 (d).

Variations in rainfall distributions and volumes may have caused changes in vegetation cover, surface temperature, and evapotranspiration of the land portions observed in this study. Predominant vegetation of the Caatinga biome is quickly responsive to rainfall regimes, reducing foliage in the dry period (through deciduousness) and gaining biomass by the arrival of a new rainy period, which is considered a condition of defense and physiological adaptation of these highly efficient plants [102,103].

3.3. Vegetation Cover Indices of the Municipality

Figure 6 shows the percent vegetation cover (V_C) in Petrolina. The first three imaging dates in the study showed that, on average, 52.26% of the territorial area of the region had 0 to 25% vegetation cover (Figure 6a–c), revealing the direct effects of low rainfall volumes resulting from the dry season on native vegetation.

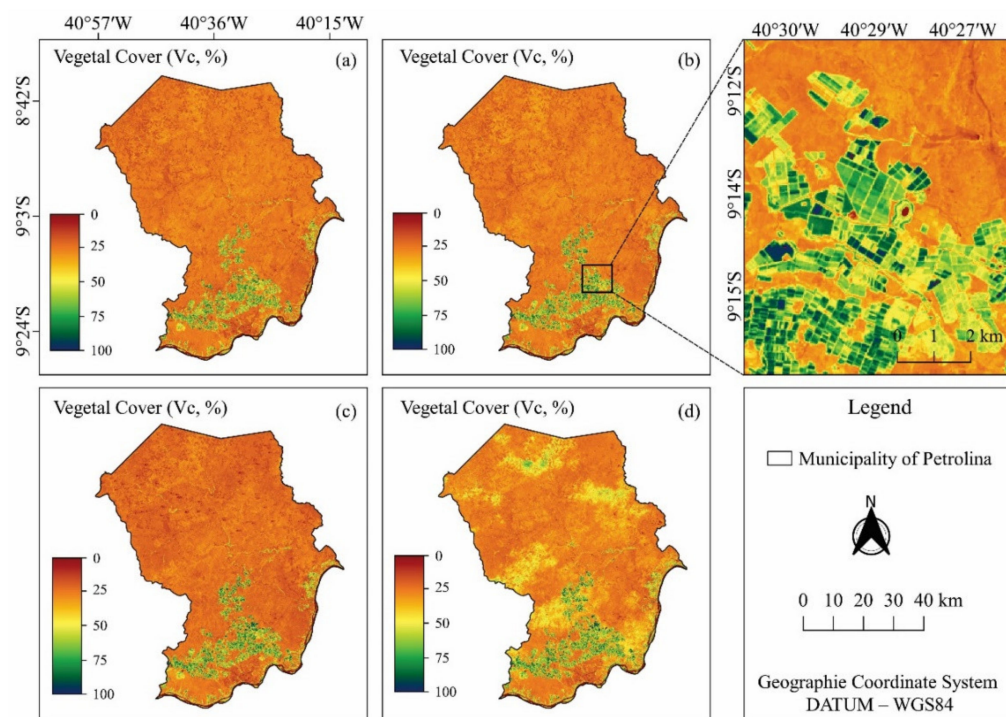


Figure 6. Condition of the different types of vegetation cover in the Caatinga agroecosystem in the municipality of Petrolina, Pernambuco, Brazil, on the imaging dates 5 October 2013 (a), 12 November 2015 (b), 16 October 2017 (c) and 7 November 2019 (d).

Under these conditions, variations in NDVI values are strongly linked to biomass production [102]. In studies carried out in the Caatinga, Cunha et al. [22], Leivas et al. [21], and Leivas et al. [104] reported that monitoring large-scale variations of water indicators and vegetation status is of crucial importance to minimize the adverse effects of climate and land use changes on mixed agroecosystems. Moreover, it can help in planning and decision-making processes for rational use of natural resources to mitigate the effects and duration of large-scale drought events.

The results reported here contribute to understanding water limitations and vegetation behavior. Due to the proximity of the São Francisco river, which supplies the irrigation systems, the areas of higher vegetation cover are found in the irrigated agriculture sites in the southern part of the municipality and the few remaining fragments of arboreal Caatinga, reaching average values of $58.71 \pm 22.18\%$ and $59.78 \pm 18.94\%$, respectively, throughout the period under study (Figure 6). However, it can be observed that in areas dominated by pasture, herbaceous, and shrub Caatinga, the mean values of V_C for the dates studied are lower ($22.84 \pm 6.70\%$, $27.17 \pm 5.45\%$, and $24.56 \pm 4.74\%$, respectively) (see also Figure 4). The advanced stage of pasture degradation and the intense grazing activity in natural areas

of Caatinga also impact the vegetation density and, hence, its spatiotemporal dynamics and related surface variables such as NDVI, LST, albedo, and emissivity [2,72,105].

Santos et al. [103] emphasize that the arboreal vegetation is less susceptible to climatic variations than shrub and herbaceous vegetation, leading to less drastic reductions in V_C throughout the dry season. This characteristic is intrinsically linked to the adaptive capacity of species, favoring them to withstand the stressful effects of abiotic factors [106].

It is essential to highlight that on 7 November 2019, there was an average increase of 46.81% of areas with V_C higher than 50% in the northern part of the municipality (Figure 6d), which indicates a response of vegetation to the behavior of rainfall in this period, since this was the fraction of the polygon with the highest rainfall index during the 30 days prior to this evaluation date (Figures 5 and 6). The interrelationship of vegetation with meteorological variables plays a crucial role in the ability to slow the advance of aridity, loss of soil quality, and ecological diversity. In areas with consolidated vegetation, soil exposure to solar radiation becomes lower, causing the maintenance of soil moisture and consequently favoring the water availability in the environment for species. The intensification of anthropic activities can directly cause damage to native vegetation, such as soil and vegetation degradation; however, these changes can also influence climatic conditions and cause severe damage to ecosystem services.

During the studied dates, the LAI varied between 0.00 and $3.3 \text{ m}^2 \text{ m}^{-2}$ (Figure 7). However, in 97% of the region under study, the LAI values were lower than $0.825 \text{ m}^2 \text{ m}^{-2}$, for in periods with low water availability, the Caatinga usually presents low LAI [107]. The low LAI values can be justified not only by drought events but also by the characteristics of the soils, which, in general, are shallow and have low water storage capacity. In addition, it is worth noting that factors such as deforestation and intensive grazing activity in areas of native vegetation, as well as a high degree of degradation of pasture areas, historically, are common in this region, causing an imbalance of natural ecosystems [108,109].

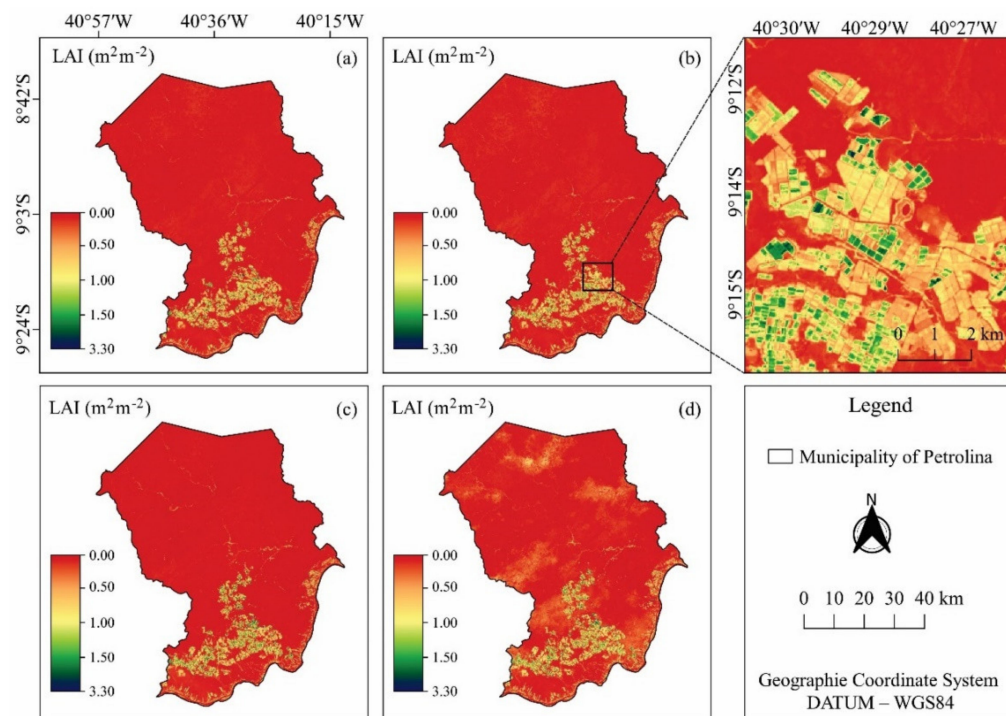


Figure 7. Spatiotemporal distribution of leaf area index (LAI, $\text{m}^2 \text{ m}^{-2}$) in the municipality of Petrolina, Pernambuco, Brazil, on the imaging dates 5 October 2013 (a), 12 November 2015 (b), 16 October 2017 (c), and 7 November 2019 (d).

The highest heterogeneity in LAI values was seen on 7 November 2019 ($0.24 \pm 0.22 \text{ m}^2 \text{ m}^{-2}$) (Figure 7d), increasing from the greater variability in the spatial

distribution of rainfall accumulation in the previous days. On the other hand, during the dates studied here, the highest mean LAI values stood out only in areas of arboreal Caatinga ($0.58 \pm 0.45 \text{ m}^2 \text{ m}^{-2}$) and agriculture ($0.75 \pm 0.49 \text{ m}^2 \text{ m}^{-2}$), with the maximum values being associated with irrigated agricultural areas, more specifically orchards, with increased biomass production. However, in areas of pasture, urban infrastructure, and areas of arboreal and herbaceous Caatinga, the LAI values were close to or equal to zero, making its variation more homogeneous, that is, closer to the daily mean, with standard deviation (SD) ranging between 0.05 and $0.10 \text{ m}^2 \text{ m}^{-2}$ within the land cover classes (Figure 7).

3.4. Land Surface Temperature (LST) in the Studied Classes

In the present study, the minimum LST values were seen in areas of water bodies, while the maximum LST values were seen in areas of exposed soils, located at points of pasture and degraded Caatinga, urban infrastructure (asphalt, concrete, and gravel surfaces), and agricultural areas undergoing soil preparation for cultivation (Figure 8). This result is expected in bare soil locations under intense anthropic activity due to the transformation of land use/land cover classes into non-evaporating surfaces. This makes the place's temperature higher and reduces water availability in the soil, which causes serious problems in agricultural crops. The computed LST map is shown in Figure 8.

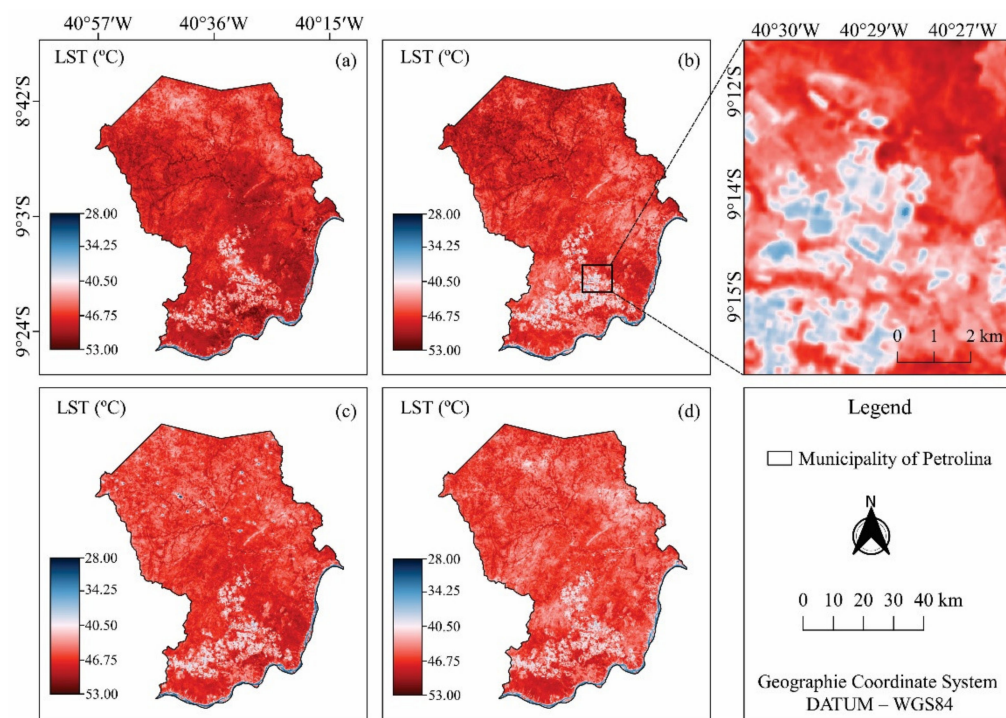


Figure 8. Spatiotemporal distribution of the land surface temperature—LST ($^{\circ}\text{C}$) in the municipality of Petrolina, Pernambuco, Brazil, on the imaging dates 5 October 2013 (a), 12 November 2015 (b), 16 October 2017 (c) and 7 November 2019 (d).

Due to the replacement of primary vegetation with pastures, agricultural crops, and urban occupation, changes in land use can substantially affect the heat and mass exchange in the soil–plant–atmosphere system, propitiating the retention of a higher amount of heat by the Earth's surface [2,52]. Land abandonment and excessive mechanical disturbance of the soil may also alter the heat exchange with the environment and cause lower thermal and radiant energy lag; thus, the land conversion had increased LST in the area of the non-evaporating surfaces.

It can be observed that the average LST values for the dates studied were higher in the areas dominated by pasture ($47.69 \pm 1.47 \text{ }^{\circ}\text{C}$), herbaceous Caatinga ($47.28 \pm 1.27 \text{ }^{\circ}\text{C}$), and shrub Caatinga ($46.07 \pm 1.44 \text{ }^{\circ}\text{C}$), even higher than those observed in areas with urban

infrastructure (45.80 ± 1.52 °C) (Figure 8). According to Zhao et al. [110,111], sites with different land cover types may have an LST increase gradient along the urban to rural profile. The high LST in the pasture, herbaceous, and shrub Caatinga areas is related to the lower percentage of ground covering by vegetation (see Figure 6), which results in drier exposed soil, with higher albedos and lower evaporative cooling flux rates, a factor that increases LST. Another related factor contributing to the high LST of pastures is that grasses have shallower roots. Therefore, they can only access the water available in the superficial soil layers, which depletes faster than in deeper layers [2]. Vegetation canopy can retain rainwater and decrease groundwater recharge by altering evaporative flux and raising the land surface temperature.

On the other hand, it is observed, in general, that in areas dominated by arboreal Caatinga and agriculture, the average LST values are lower (38.99 ± 2.48 °C and 43.11 ± 2.30 °C, respectively) (Figure 8). The highest vegetation cover and the highest soil humidity in the areas dominated by these classes favor LST reduction. However, they present the greatest spatial variations of LST among all land use and land cover classes, according to the standard deviation values (\pm SD). The main advantage of using LST data from satellite images is the total surface coverage. In this way, each time series of pixels of the LST map can be considered a “virtual weather station” [112].

3.5. Variations of the Actual Evapotranspiration (ET_a) of Land Use Classes

Rainfall regime directly influenced ET_a , so that on 16 October 2017 (Figure 9c), the date with the lowest rainfall accumulation in the previous days, the lowest ET_a rates were found, with an average value of 2.02 mm day⁻¹. On the other hand, on 7 November 2019 (Figure 9d), the period with the highest rainfall accumulation, average ET_a rates were 2.62 mm day⁻¹ (Figure 9). According to Teixeira et al. [20], high evapotranspiration values in Caatinga areas occur right after rains. Therefore, the previous rainfall raises soil water availability and keeps native species with turgid structures and greener canopy.

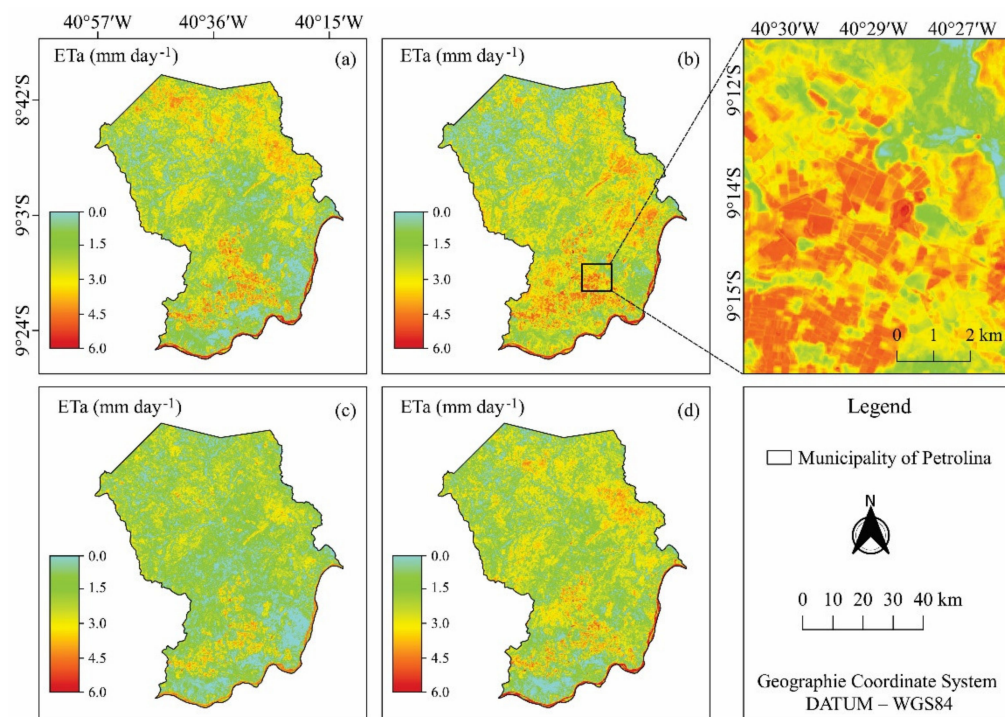


Figure 9. Spatiotemporal distribution of actual evapotranspiration (ET_a , mm day⁻¹), calculated with Surface Energy Balance Algorithm for Land (SEBAL), in the municipality of Petrolina, Pernambuco, Brazil, on the imaging dates 5 October 2013 (a), 12 November 2015 (b), 16 October 2017 (c), and 7 November 2019 (d).

The ET_a estimated by the SEBAL model showed variation both within and between land use and land cover classes. The lowest ET_a observations in all the evaluated dates were observed in the areas occupied by pasture and mosaic of agriculture and pasture classes, with average values of $0.70 \pm 0.73 \text{ mm day}^{-1}$ and $1.01 \pm 0.96 \text{ mm day}^{-1}$, respectively (Figure 9). These areas have dryland cultivation practices, which causes the lower water availability to affect the evapotranspiration rates; moreover, the heterogeneity of the areas causes sudden variations in ET_a .

On the other hand, due to the effect of the increase in air temperature and atmospheric demand verified throughout the dry season in Petrolina, combined with the presence of preserved riparian forests along stretches of water bodies and continuous irrigation in crops, higher mean ET_a values were observed in the arboreal Caatinga ($4.73 \pm 0.49 \text{ mm day}^{-1}$) and agriculture ($3.07 \pm 1.23 \text{ mm day}^{-1}$) classes. For presenting areas with irrigated and dry cultivation, the average values of this class become more variable. When there is a greater contribution of moisture added to more dense vegetation, there is a favoring of the local microclimate in the region [113,114], a phenomenon reported in areas of arboreal vegetation.

The shrub and herbaceous Caatinga classes showed greater heterogeneity indicated by the largest standard deviations ($2.42 \pm 0.76 \text{ mm day}^{-1}$) and ($1.46 \pm 0.71 \text{ mm day}^{-1}$), respectively, relative to the arboreal Caatinga class (Figure 9). Folhes et al. [115] reported that the evapotranspiration values (2.0 mm day^{-1}) during the dry season for species of herbaceous–shrubby Caatinga. In dry periods, the Caatinga vegetation uses the available energy as sensible heat flux (H), limiting transpiration and photosynthesis, thus reducing evapotranspiration values [20]. However, arboreal vegetation is able to compensate the high vapor pressure deficit in the air, even in dry periods, when compared to shrub and herbaceous vegetation, due to the deep root system keeping up with the water stored in the soil [70,116,117].

The arboreal and shrub species play a fundamental eco-hydrological role, maintaining soil humidity and structuring its porosity, guaranteeing the maintenance of infiltration capacity and favoring the survival of species [116].

3.6. Statistical Relations between the Variables Studied and Land Use

In this study, we performed a PCA of the environmental variables in relation to land use and land cover classes. Therefore, the first two components with eigenvalues greater than 1.0 were extracted separately for the years 2013, 2015, 2017, and 2019 (Figure 10). In 2013, the two principal components explained 94.77% of the total variation, with 70.39% in the principal component 1 (PC1) and 24.38% in the principal component 2 (PC2). On the other hand, in 2015, 2017, and 2019, when added together, PC1 and PC2 represented 94.01, 92.34, and 94.56% of the total variation, respectively (Figure 10). In addition, it can be seen that the LULC class, with the least influence on components 1 and 2 in all years, is shrub Caatinga, with average eigenvalues (0.31 and 0.37, respectively). In addition, the classes with the greatest influence on PC1 with positive and negative eigenvalues are water bodies (2.69), agriculture (-2.35), arboreal Caatinga (-1.92), pasture (0.34), mosaic (0.16), and urban area (0.35). In PC2, the classes of LULC were water bodies (-4.36), mosaic (2.10), agriculture (-1.0), and arboreal Caatinga (-3.40) (Figure 10).

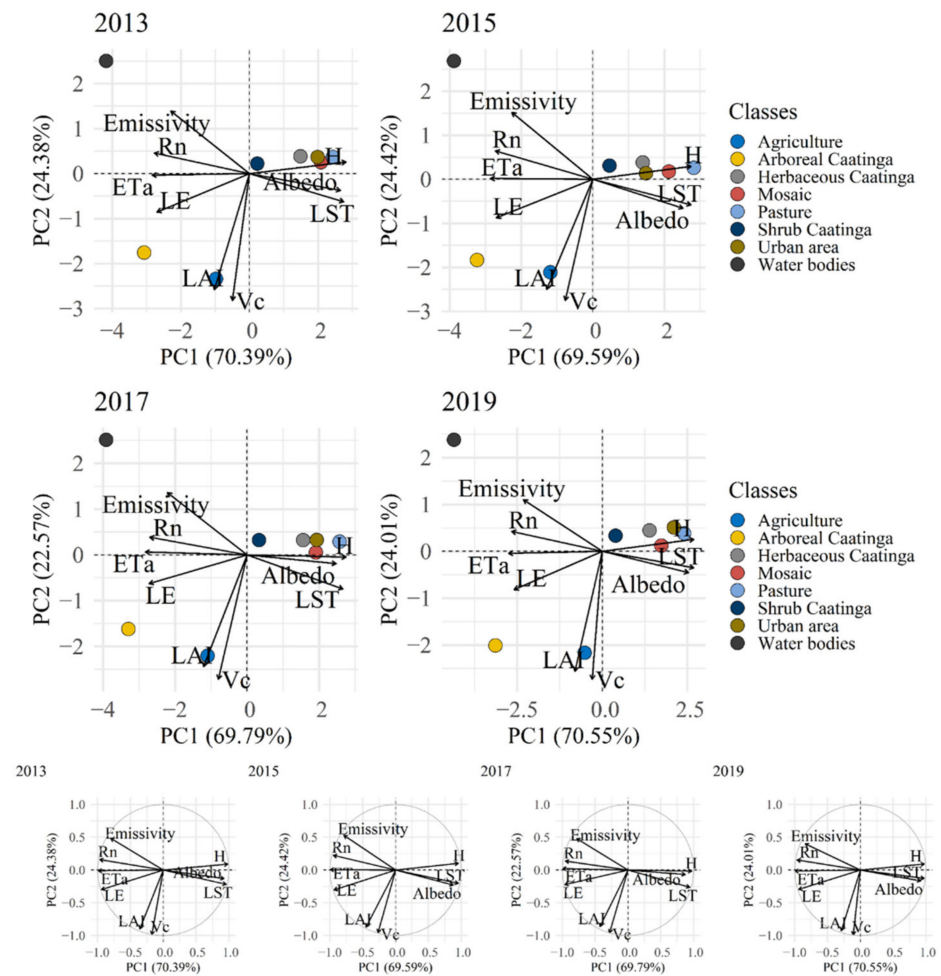


Figure 10. Scores obtained by principal component analysis (PCA) of environmental variables and land use and land cover. PC1 and PC2 are the first and second dimensions of PCA data, respectively. The four inserted panels below the PCA scores plots refer to the loadings plots of the first two principal components from 2013 to 2019.

Through PCA, we observed that the ordering of variables in each principal component (PC) of the axes was influenced by the degree of vegetation cover and surface water status of the LULC classes (Figure 10). Thus, PC1 contributed more to the variability of the response of variables related to energy balance. Therefore, in PC2, the land use and land cover classes (i.e., pasture, mosaic, urban area, and herbaceous Caatinga) influenced the variables R_n , LE , ET_a , and emissivity with higher mean loadings (-0.95 , -0.94 , -0.99 , and -0.80 , respectively). On the other hand, they showed a high correlation with the variables H , LST , and albedo. For these LULC classes, this may be related to the presence of bare soil and thin vegetation covers that affect the regional microclimate and soil–plant–atmosphere system fluxes, resulting in higher albedos, lower rates of evaporative cooling fluxes, and highest LST [2,105].

On the other hand, PC2 contributed more to the variability of the responses of the variables regarding the canopy interactions (LAI and V_c) due to the strong negative correlation with cultivated land (i.e., agriculture class) (Figure 10). In all years, there was a predominance for LE and LAI in areas with arboreal Caatinga and agriculture, with agriculture presenting the highest V_c . Caatinga presents a strong relationship with LAI in rainy periods due to the greater availability of water in the soil [118]. In the present study, the samples were taken in the period with low rainfall, so the LAI was not expressive compared to agricultural areas, which use irrigation and therefore increase the LAI . Thus,

the emissivity in the Caatinga vegetation is lower, for the emissivity of the soil is generally lower than that of the leaves [2].

The results of the analysis of variance (ANOVA) and multiple regression analysis of the established model are presented in Table 3. For the combination of two-variable models, the two best pre-established parameters based on the PCA results were the variables LST and H , used to build the regression model. In particular, these two variables are of great relevance in transferring energy to the atmosphere. The ANOVA results also showed that the model values are significant. Due to the observations, a joint analysis of the coefficient of determination obtained ($R^2 = 0.98$) can be performed, emphasizing the P -value obtained from our regression model, which was less than 0.001, thus indicating greater model accuracy and reliability (Table 3). Notably, it can be seen that the model's F -value was 16,692.84, being greater than the critical value of $F_{0.05} = 3.018$, which confirms the significance of the proposed model. In addition, the variables used provided a high R^2 and LCCC, being essential for the model's accuracy. Furthermore, the results showed that the multiple linear regression model to determine ET_a achieved a coefficient of determination of 0.98, RMSE of 0.498, MAE of 0.413, and d equal to 0.9620. It also resulted in PBIAS, NSE, and LCCC values averaged between -13.32% , 0.826, and 0.907, respectively (Table 3). From the statistical analysis, this model is described as $ET_a = 6.89 - 0.0527LST - 0.0120H$. Based on the RMSE, LCCC, and d of this application, the use of the ET_a model, besides presenting a strong correlation between the variables H and LST, as seen in Figure 10, expresses a biophysical model with enough efficiency and high agreement to determine ET_a .

Table 3. Analysis of variance (ANOVA) and regression coefficients results for the suggested model.

Source of Variation	df	SS	MS	F-Value	p-Value	
Regression	2	464.41	232.21	16,692.84	0.0001	
LST	1	335.29	335.29	24,103.8	0.0001	
H	1	129.12	129.12	9281.9	0.0001	
Error	397	5.52	0.01			
Total	399	469.93				
Regression statistics						
Predictors in model	Regression coefficients					
	β_0	β_1	β_2	R^2		
LST, H	6.89	-0.0527	-0.0120	0.98		
Statistical metrics						
Model	RMSE	MAE	PBIAS (%)	NSE	LCCC	d
	0.498	0.413	-13.32	0.826	0.907	0.9620

df: degrees of freedom, SS: sum of squares, MS: mean square, LST: land surface temperature, H : sensible heat flux, β_0 : intercept, β_1 and β_2 : estimated coefficient for the factor x , R^2 : coefficient of determination, RMSE: root mean square error, MAE: mean absolute error, PBIAS: percent bias, NSE: Nash–Sutcliffe efficiency coefficient, LCCC: Lin's concordance correlation coefficient, and d : Willmott's index of agreement. Based on F -test, at a probability of 0.05 ($p < 0.05$), significance of equation parameters for each response variable was determined.

The high d (0.9620) values for ET_a indicated that there was a good agreement between simulated and measured ET_a . In general, the ET_a model showed excellent agreement (LCCC 0.9), high performance, and low RMSE (0.498) (Table 3). The PBIAS and MAE values for ET_a were between -13.32% and 0.413, confirming the close agreement. Consequently, this result describes the ability to simplify and accurately predict ET_a in deficit environments. Applications of regression model analysis with environmental variables are common in the literature in dry forests [118–120]. However, these implementations of variables in previous studies may be challenging to acquire for specific locations, requiring more simplified models. Our results also indicate the relationship between H and the LST of ecosystems to determine ET_a , an important variable in the energy balances of environments worldwide.

4. Conclusions

In this study, we point out a significant tendency to increase the agricultural areas, which results in the progressive decrease of the Brazilian Caatinga biome. The vegetation cover is directly influenced by the soil–water regime; years of higher rainfall result in a lower percentage of suppression of the native forest in the municipality of Petrolina, Pernambuco (Brazil). The areas with pasture class presented hotspots due to degradative processes and higher surface temperatures, influenced by the sensible heat flux. A gradual increase in LST is observed in the municipality and it may cause future risks to forest areas.

The SEBAL algorithm used in a semi-arid environment is a helpful tool to determine the energy and mass fluxes in different ecosystems. Notably, the Caatinga biome has particularities in biophysical parameters, according to the land cover and soil exposure on intra and inter-annual scales. The heterogeneity of the surface of the municipality of Petrolina, as a function of land use and land cover patterns, alters the energy exchange with the atmosphere. Our results also suggest a simplified and validated model for ET_a determination in a semi-arid environment. The regression model could accurately predict the spatial distribution of ET_a , with high R^2 and LCCC and low RMSE value.

Thus, it is possible to suggest that the implementation of agricultural activities in the Petrolina should be carried out in a planned and sustainable way in order to mitigate the impacts that anthropic action causes on the Caatinga, especially with the increased vulnerability of this biome to the desertification process. However, further research is needed to investigate the spatial variations of the types of crops covering the soil in the municipality, as well as the dynamics of fires and their impacts on the diversity of the Caatinga biome. Field surveys and the use of unmanned aerial systems (UAS) could provide more detailed information at an intermediate and fine scale.

Supplementary Materials: The following are available online at <https://www.mdpi.com/article/10.3390/rs14081911/s1>, Figure S1: Land use/land cover changes in Petrolina between 2013 and 2019. Positive values indicate an expansion of the respective land cover, negative values a contraction. The vertical axe is in million hectares (Mha). Please see Supplementary Table S1 to access values of land use/land cover changes; Table S1: Areas of expansion and contraction of land use/land cover changes in Petrolina between 2013 and 2019. Positive values indicate an expansion of the respective land cover, negative values a contraction. The values are in million hectares (Mha).

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Abbreviations

Summary of all the symbols and acronyms used in this paper.

Item	Description
a and b	Are the calibration coefficients
C_p	Specific heat of air
d	Willmott's index of agreement
DEM	Digital elevation model
dT	Near-surface air temperature gradient
e_a	Actual atmospheric water vapor pressure
e_s	Water vapor saturation pressure
ET _a	Actual evapotranspiration
G	Soil heat flux
GEE	Google Earth Engine
H	Sensible heat flux
HR	Instantaneous relative humidity
k	von Karman constant
LAI	Leaf area index
LCCC	Lin's concordance correlation coefficient
LE	Latent heat flux
LSE	Land surface emissivity
LST	Land surface temperature
LULC	Land use and land cover
MAE	Mean absolute error
NDVI	Normalized Difference Vegetation Index
NSE	Nash-Sutcliffe efficiency coefficient
PBIAS	Percent bias
PCA	Principal component analysis
r_{ah}	Near-surface aerodynamic resistance to heat transport
RMSE	Root mean square error
R_n	Net radiation
R_{n24}	Daily net radiation
R^2	Coefficient of determination
SAVI	Soil-Adjusted Vegetation Index
T_0	Instantaneous air temperature
T_a	Air temperature
u^*	Friction velocity
u_{200}	Wind speed at the height of 200 m
V_C	Vegetation cover
W	Precipitable water

Item	Description
z_1 and z_2	Are the two heights between the surface of the anchor pixels
z_{om}	Momentum roughness length
α_{sup}	Surface albedo
ϵ_a	Atmospheric emissivity
Λ	Evaporative fraction
λ	Latent heat of vaporization of water
ρ_{air}	Air density
ψ_m and ψ_h	Stability correction factors for momentum and sensible heat, respectively

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