

RESEARCH ARTICLE

New sustained release of Zidovudine Matrix tablets – cytotoxicity toward Caco-2 cells

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Abstract

Objective: The aim of this study was to adjust the zidovudine (AZT) release from solid tablets to an ideal profile, by developing matrices comprising swellable polymers with nonswellable ones.

Methods: Directly compressed matrices comprised different ratios of hydroxypropylmethylcellulose K15M and K100M, ethylcellulose, and methacrylic acid (Eudragit® RS PO and Eudragit® RL PO) were prepared. Technological characterization and evaluation of the *in vitro* release behavior were carried out. Cell density and viability following drug exposure were evaluated by the SRB method, for the Caco-2 line, while cell morphology was assessed upon Trypan blue staining.

Results: A specific formulation containing 5% of each excipient – HPMC K15M, HPMC K100M, Eudragit® RS PO, and Eudragit® RL PO – was found to yield the best release profile. Application of the Korsmeyer–Peppas model to the dissolution profile evidenced that a non-Fickian (anomalous) transport is involved in the drug release. Regarding the influence of the tablets' composition on the drug's cytotoxic effect toward the Caco-2 cell line, a reduction of cell biomass (0–15%) was verified for the distinct AZT formulations tested, F19 having displayed the highest cytotoxicity, after 24 and 48 h of incubation. Additionally, a high reversibility of the AZT effect was observed.

Conclusions: The results showed that the simultaneous application of both hydrophilic and hydrophobic polymers can modulate the drug release process, leading to an improved efficacy and patient compliance. All AZT formulations studied were found to be cytotoxic against Caco-2 cells, F19 being the most effective one.

Keywords: Zidovudine (AZT), hydroxypropylmethylcellulose (HPMC), Eudragit®, *in vitro* release, antiproliferative effect, Raman spectroscopy

Introduction

Zidovudine (3'-azido-3'-deoxythymidine, azidothymidine, AZT, 1-[(2R, 4S, 5S)-4-azido-5-(hydroxymethyl) oxolan-2-yl]-5-methyl-pyrimidine-2, 4-dione) is a thymidine analog that inhibits the replication of the human immunodeficiency virus. It was the first approved anti-HIV drug¹ and has been used for the treatment of AIDS, since the late 1980s. However, AZT therapy using conventional formulations is frequently associated with dose-dependent adverse reactions, mainly anemia and neutropenia^{2,3}.

Zidovudine is known to be rapidly absorbed from the gastrointestinal tract, presenting a presystemic biotransformation and an oral bioavailability of *ca.* 63%^{4,5}. Since AZT has a short plasma elimination half life (~1 h)^{4,5}, quite large dosages are required to sustain its therapeutic level. When orally administered, these cause considerable damage at the gastrointestinal level. Furthermore, since zidovudine's antiviral activity is time-dependent, an adequate zero-order delivery of AZT is required for preserving anti-HIV action and minimizing the side effects.

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The bioavailability of a particular drug, as well as its pharmacokinetics and pharmacodynamics, is strongly dependent on the dosage form used. A thorough understanding of the phenomena taking place upon exposure of a given body to a certain pharmacological agent is thus essential for the development of improved controlled release drug formulations. Sustained drug delivery systems are designed to achieve a continuous release of a pharmaceutical agent at a predictable and reproducible kinetics, over an extended period of time, with a consequent minimization of drug-related deleterious side effects and improved patient compliance, which is greater in the case of oral formulations⁶⁻⁹.

Since the newly established protocol ruling toxicological tests^{10,11} severely limits the use of animals in lethal experiments, there has been an increased interest in *in vitro* techniques, for obtaining reliable biological data^{12,13}. The human cell line Caco-2, in particular, has shown to be a suitable and reliable model for this kind of studies¹⁴, as it is derived from human colon adenocarcinoma cells and exhibits several classes of different markers typically found in adult's intestinal differentiated cells (e.g., alkaline phosphatase and microvilli in the brush border)¹⁵⁻¹⁹. In fact, the use of Caco-2 cells in cytotoxicity evaluation experiments presents several advantages: (i) there are no significant differences in either the morphological or physiological characteristics of intestinal cancer cells compared to normal cells^{15,20}; (ii) it allows to gather information on the potential drug's toxicity toward the mucosa²¹⁻²³; (iii) it provides information, at the cellular level, on drug's absorption, metabolism, and transport through the intestinal wall²⁴⁻²⁹.

Despite the several studies reported to date on AZT sustained release formulations, seeking an optimized therapy for HIV/AIDS³⁰⁻⁴¹, no reports have been found on their prospective clinical application. However, the severity of HIV and its related disorders urges for reliable strategies on oral sustained release formulations, particularly regarding AZT. The present work aims at contributing to this goal, of the utmost relevance for human health, by assessing the suitability of several hydrophilic and hydrophobic polymer mixtures. A novel approach is presented for the promotion of the drug's gastrointestinal absorption, increasing its bioavailability at a therapeutically adequate rate and leading to a higher therapeutic efficiency coupled to a lower toxicity. For those formulations, yielding the best drug release behavior, the anti-proliferative and cytotoxic properties against Caco-2 cells were also evaluated.

Methods

Materials

Drug: Zidovudine (lot. n° 061218) was purchased from CIPLA, India. Polymers: Eudragit® RS PO (lot. n° 0400938137) and Eudragit® RL PO (lot. n° 0410836093) Röhm Pharma – Germany; Ethylcellulose 48.9% lot. n° 036k0185, Sigma-Aldrich– Germany, USA;

Hydroxypropylmethylcellulose, Methocel® K15M lot n° OG20012N31 and Methocel® K100M lot n° OB12012N11, Colorcon – England. Diluent: lactose monohydrate (lot n° 10162230), Granulac® 200, Meggle, Wasserburg, Germany. Glidant: Talc. Lubricant: magnesium stearate (Magnesia GmbH, Germany).

Dulbecco's modified Eagle's medium (DMEM), ethylenediamine tetraacetic acid (EDTA), nonessential amino acids (NeAA), phosphate buffer saline solution (PBS–KH₂PO₄-15mM, NaHPO₄-43mM, KCl-27mM, pH 7.4), potassium chloride, potassium phosphate monobasic, sodium bicarbonate, sodium chloride, sodium pyruvate, sulforhodamine B (SRB), Trypan blue (0.04% (w/v) solution in PBS), Tris(hydroxymethyl)aminomethane, as well as all the reagents and media for cell culturing, were supplied by Sigma-Aldrich (Portugal). Fetal bovine serum (FBS) lot n° 41F3676F and trypsin/EDTA were purchased from GIBCO (Spain).

The human Caucasian colon adenocarcinoma cell line Caco-2 was made available by IPATIMUP (Institute of Molecular Pathology and Immunology of the University of Porto, Portugal).

Preparation of the matrix tablets

The distinct formulations of the matrix tablets analyzed along this study are comprised in Table 1. Both drug and excipients were sieved (125 mesh) and thoroughly mixed in a plastic bag for 15 min. Talc and magnesium stearate were sieved (200 mesh), added to the previous mixture and blended for 5 min more. All matrices containing 300 mg of zidovudine were directly compacted in an automatic hydraulic press (Specac Press, UK), using flat 10 mm diameter punches and a compaction pressure of 100 MPa⁴².

Raman spectroscopy

The Raman spectra were obtained on a triple monochromator Jobin-Yvon T64000 Raman system (focal distance 0.640 m, aperture *f*/7.5) equipped with holographic gratings of 1800 grooves.mm⁻¹. The premonochromator stage was used in the subtractive mode. The detection system was a liquid nitrogen cooled non-intensified 1024 × 256 pixel (1") Charge Coupled Device (CCD) chip. A coherent (model Innova 300-05) Ar⁺ laser was used as the light source, the output of which, at 514.5 nm, was adjusted to provide 80 mW at the sample position. A 90° geometry, between the incident radiation and the collecting system, was employed. The entrance slit was set to 100 μm. Up to 10 to 20 scans, with integration times between 12 and 30 s, were used in all experiments.

Samples were sealed in Kimax glass capillary tubes of 0.8 mm inner diameter. Under the above mentioned conditions, the error in wavenumbers was estimated to be within 1 cm⁻¹.

Weight, diameter, thickness, hardness, and friability of the tablets

A total of 20 tablets were checked for weight uniformity (KERN 770, electronic balance, Germany), diameter,

Table 1. Composition of the distinct AZT formulations containing hydrophilic and hydrophobic polymers.

| Formulations | Components (mg) | | | | | | | | |
|--------------|-----------------|-------------|------------|------|--------|--------|---------|------|-------------|
| | AZT | HPMC K 100M | HPMC K 15M | EC | ERS PO | ERL PO | Lactose | Talc | Mg Stearate |
| F1 | 300.0 | - | - | 46.0 | - | - | 30.0 | 2.0 | 2.0 |
| F2 | 300.0 | - | - | 38.0 | - | - | 38.0 | 2.0 | 2.0 |
| F3 | 300.0 | - | - | 26.0 | - | - | 50.0 | 2.0 | 2.0 |
| F4 | 300.0 | - | - | 23.0 | - | - | 53.0 | 2.0 | 2.0 |
| F5 | 300.0 | - | - | - | 23.0 | 23.0 | 30.0 | 2.0 | 2.0 |
| F6 | 300.0 | - | - | - | 20.0 | 26.0 | 30.0 | 2.0 | 2.0 |
| F7 | 300.0 | - | - | - | 38.0 | 38.0 | - | 2.0 | 2.0 |
| F8 | 300.0 | - | - | - | 20.0 | 56.0 | - | 2.0 | 2.0 |
| F9 | 300.0 | 23.0 | 23.0 | - | - | - | 30.0 | 2.0 | 2.0 |
| F10 | 300.0 | 19.0 | 19.0 | - | - | - | 38.0 | 2.0 | 2.0 |
| F11 | 300.0 | 13.0 | 13.0 | - | - | - | 50.0 | 2.0 | 2.0 |
| F12 | 300.0 | 11.5 | 11.5 | - | - | - | 53.0 | 2.0 | 2.0 |
| F13 | 300.0 | - | - | 23.0 | 11.5 | 11.5 | - | 2.0 | 2.0 |
| F14 | 300.0 | - | - | 26.0 | 10.0 | 10.0 | 30.0 | 2.0 | 2.0 |
| F15 | 300.0 | - | - | 38.0 | 19.0 | 19.0 | - | 2.0 | 2.0 |
| F16 | 300.0 | - | - | 56.0 | 10.0 | 10.0 | - | 2.0 | 2.0 |
| F17 | 300.0 | 11.5 | 11.5 | - | 11.5 | 11.5 | 30.0 | 2.0 | 2.0 |
| F18 | 300.0 | 13.0 | 13.0 | - | 10.0 | 10.0 | 30.0 | 2.0 | 2.0 |
| F19 | 300.0 | 19.0 | 19.0 | - | 19.0 | 19.0 | - | 2.0 | 2.0 |
| F20 | 300.0 | 13.0 | 13.0 | - | 25.0 | 25.0 | - | 2.0 | 2.0 |

thickness (Precision micrometer, Roche, Switzerland), hardness (TAXT plus texture analyzer, UK), and friability (Erweka TA 20, Germany).

In vitro release studies

AZT release from different formulations was measured for 12 h, at 37°C ± 2.0°C, by the USP Method 1 (USP31, 2008) in 900 mL of dissolution media— either simulated gastric (pH 1.2) or intestinal (phosphate buffer pH 6.8) fluids without enzymes— using a dissolution apparatus (Vankel VK-7000 dissolution testing station, Edison, NJ, USA) with a stirring speed of 50 rpm.

Sink conditions were kept constant throughout the test. The matrices were initially placed in simulated gastric fluid. After 2 h, the pH of the medium was varied from 1.2 to 6.8 (simulated intestinal fluid). At suitable intervals, 5 mL samples were withdrawn and immediately replaced with equal volumes of the respective dissolution medium (maintained at 37 ± 2.0°C). The samples were filtered (45 μm), diluted, and spectrophotometrically analyzed for the AZT content, at λ = 266 nm, according to the validation procedure previously described⁴³.

The drug release profiles were represented through plots of the cumulative percentage of drug release (calculated from the total amount of AZT contained in each matrix) *versus* time. Each independent experiment was performed in triplicate.

Kinetic mechanism and release parameters

The tablet's dissolution profiles can be characterized following mathematical model-dependent or model-independent methods⁴⁴. According to the extensively used model-dependent approach, the release kinetics

of zidovudine formulations was described by finding the best fit of the data (fraction of drug released *versus* time) to distinct mathematical functions: zero-order, first-order, Higuchi^{45,46} and Hixson-Crowell⁴⁷. Furthermore, to gain some insight into the drug release mechanism, the Korsmeyer-Peppas semi-empirical model was applied⁴⁸. After fitting these models to the dissolution data, their performance was based on the determination coefficient (*r*²) comparison.

On the other hand, model-independent methods included: the time to release *x*% of drug from the tablet (*t*_{*x*%}), the sampling time (%_{*y*h}), that is, the percentage of drug release after *y* hours, the mean dissolution times (*MDT*) and the dissolution efficiency (*DE*).

MDT was calculated in accordance with the following Equation (1):

$$MDT = \frac{\sum_{j=1}^n \hat{t}_j \Delta Q_j}{\sum_{j=1}^n \Delta Q_j}, \quad (1)$$

where *j* is the sample number, *n* the number of time increments considered, \hat{t}_j is the time at midpoint between *t*_{*j*} and *t*_{*j-1*}; and Δ*Q*_{*j*} is the additional amount of drug dissolved in the period of time between *t*_{*i*} and *t*_{*i-1*}.

DE, in turn, is defined for a pharmaceutical dosage form^{49,50} as the area under the dissolution curve for a certain period of time expressed as a percentage of the area of the rectangle that represents 100% dissolution at the same time point. It can be calculated by the Equation (2), *y* being the drug percentage dissolved at time *t*.

$$DE = \frac{\int_0^t y \times dt}{y_{100} \times t} \times 100. \quad (2)$$

Moreover, two model-independent methods were used to compare the drug dissolution profiles: the difference factor (f_1) and the similarity factor (f_2)⁵¹⁻⁵⁴. The f_1 factor measures the percentage error between two curves (Equation (3)):

$$f_1 = \frac{\sum_{t=1}^n |R_t - T_t|}{\sum_{t=1}^n R_t} \times 100, \quad (3)$$

where n is the number of dissolution sample times, and R_t and T_t are either the individual or mean percentage dissolved of either the reference or test products at each time point t , respectively. This factor is 0, when the test and drug reference dissolution profiles are identical, and increases proportionally with their difference.

The f_2 factor is a logarithmic reciprocal square root transformation of one plus the mean squared differences of the drug percentage dissolved between the reference and test products (Equation (4)):

$$f_2 = 50 \log \left\{ \left[1 + (1/n) \sum_{t=1}^n |R_t - T_t|^2 \right]^{-1/2} \times 100 \right\}. \quad (4)$$

This similarity factor is 100 when the reference and test dissolution profiles are identical and tends to 0 as the dissimilarity increases. Two dissolution profiles are declared similar, if the f_1 value is lower than 15 (15 to 0) and f_2 is higher than 50 (50 to 100).

In vitro studies on the Caco-2 cell line

Preparation of the drug solutions for in vitro assays

The choice of the drug concentrations to be screened in the cell cultures as to their antiproliferative and/or cytotoxic effect was based on the drug release profiles previously obtained. Therefore, solutions (prepared in PBS) were added to the cells sequentially, at 3, 6, 9, 12, and 24 h, attaining final concentrations of 92 μM for F9, 97 μM for F10, and 99 μM for F19.

The solutions were stored at 5°C and protected from light. Before administration to the cell cultures, they were filtered under sterile conditions (0.22 μm Nylon syringe filter with a Luer lock).

Cell culture

The human Caucasian colon adenocarcinoma cell line Caco-2 (grown in monolayers) was kept at 37°C \pm 0.5°C, under 5% CO₂, in DMEM, supplemented with 10% heat-inactivated FBS, 1% NeAA, sodium pyruvate and antibiotics (100 UI.ml⁻¹ penicillin/100 μg .ml⁻¹ streptomycin).

Cells were subcultured twice a week, and were harvested upon addition of trypsin/EDTA (0.05% trypsin, 0.35 mM EDTA.4Na reconstituted in free Ca²⁺ and Mg²⁺ balanced salt solution).

Cell morphology changes

To assess the drug effect on cell morphology, the cultures were observed at the microscope (after staining with Trypan blue) upon 48 h of incubation with zidovudine, for each formulation tested. The antiviral was then removed, the cells were washed with PBS, and their morphological aspect was once more examined after an additional 24 h of incubation in drug-free medium.

Antiproliferative activity and cytotoxicity evaluation

Cell density and cell viability following drug exposure were assessed with the use of standard assays. Cells were plated at 1.0 \times 10⁵ cells.ml⁻¹ in 24-well dishes and incubated overnight (24 h) to allow for cell attachment. After seeding, zidovudine (in solution, either pure or in a formulation) was added to the medium (at the 3, 6, 9, 12, and 24 h time points) and the cultures were further incubated at 37°C \pm 0.5°C for 24 h. For each formulation, as well as for pure AZT, cells were harvested and analyzed (both in controls and in drug-treated cultures), and the AZT solution was replaced gradually each 3 h, to obtain the drug concentration corresponding to the release studies, for each experimental condition. Cell proliferation and viability were evaluated through the SRB staining assay⁵⁵⁻⁵⁹. The optical density of the samples was measured at 540 nm, in a microplate reader (Biotek μ Quant MQX200, Sweden).

Reversibility of the drug effect

Cells were seeded at 1.0 \times 10⁵ cells.ml⁻¹, grown to 80% confluence and exposed to zidovudine (for each formulation and also for pure AZT) for 24 h. Reversibility of the drug effect was then tested by replacing the drug-containing medium by fresh culture medium and assessing the cell viability following an additional 3 days of incubation. Cell density and viability were determined by the SRB method.

Statistics

All experiments were carried out in triplicate. The results are expressed as mean values \pm SD (the corresponding error bars being displayed in the graphical plots).

Statistical analyses were performed using analysis of variance (ANOVA), followed by post hoc test of Fisher's Protected Least Significant Difference. In view of assessing the statistical significance between the data, a single-factor ANOVA was carried out, at a 5% significance level. Additionally, the post-test and Bonferroni multiple comparison were performed, which related pairs of individual samples, checking that the values were statistically different. The software SPSS Statistics for Windows (version 16.0) was used in this analysis.

Results and discussion

Preformulation studies

Excipients containing reactive functional groups may give rise to chemical and physical transformations. Thus, when studying new pharmaceutical formulations, it is important to determine the presence of possible incompatibilities between their components. Raman spectroscopy, which has been widely applied to this type of preformulation studies^{60–63}, was presently used with a view to detect solid-state interactions between AZT and the considered excipients and, consequently, to assess the drug-excipients compatibility in all examined formulations. A 1:1 (*w:w*) drug:excipient ratio was chosen, since this is known to maximize the likelihood of observing intermolecular interactions.

Raman spectra in the 150–3650 cm^{-1} region are presented in Figure 1, for both AZT and each of the pure polymers used. An analysis of these spectra revealed very strong similarities between different forms of hydroxypropylmethylcellulose (HPMC K15M and K100M; Figures 1B

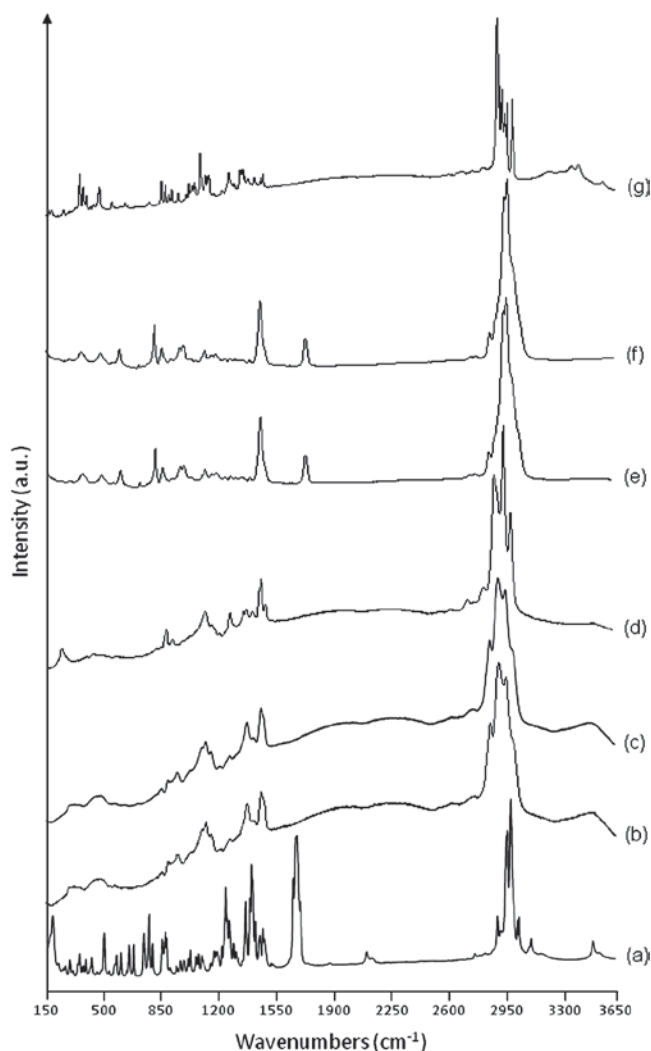


Figure 1. Raman spectra, in the 150–3650 cm^{-1} region, of AZT (a), HPMC K15M (b), HPMC K100M (c), etilcelulose (d), Eudragit® RL PO (e), Eudragit® RS PO (f), and lactose (g).

and C, respectively). The same is observed to Eudragits® (RL PO and RS PO; Figure 1E and F, respectively).

Figures 2A and A comprise the Raman spectra of the AZT:HPMC K15M and AZT:Eudragit® RS PO physical mixtures, respectively. In an attempt to detect changes in the drug molecule upon mixing with each polymer, these spectra were subtracted from those of the excipients (Figures 2B and 3B) to obtain the “AZT changed” spectra (Figures 2C and 3C). The similarity between the latter and the spectrum of pure AZT (Figure 1A) allows to conclude that there are no significant intermolecular interactions that could eventually lead to an incompatibility between the drug and the different excipients tested (the results for the remaining excipients are present as Supplementary Data in Figures S1–S4).

Physical characteristics of AZT-containing matrices

The technological characteristics of the mixtures allows the preparation of tablets with a uniform weight as indicated by the very low standard deviation in all formulations, well inside the pharmacopoeia limits (R.S.D. < 1%, Table S1).

It is well known that tablets' thickness values may vary, depending of the flow characteristics of the powders. The assessments made in the formulations under study show low thickness relative standard deviation (< 1%), evidencing that the powder filled the punch cavity homogeneously due to its good flow behavior.

The matrices' hardness always remained within acceptable limits (96–103 N). However, as this analysis is not an absolute indicator of strength, friability was also measured: in all formulations, tablets friability was less than 1%, within the USP 31 limits. Small values imply much less friability during transportation, which

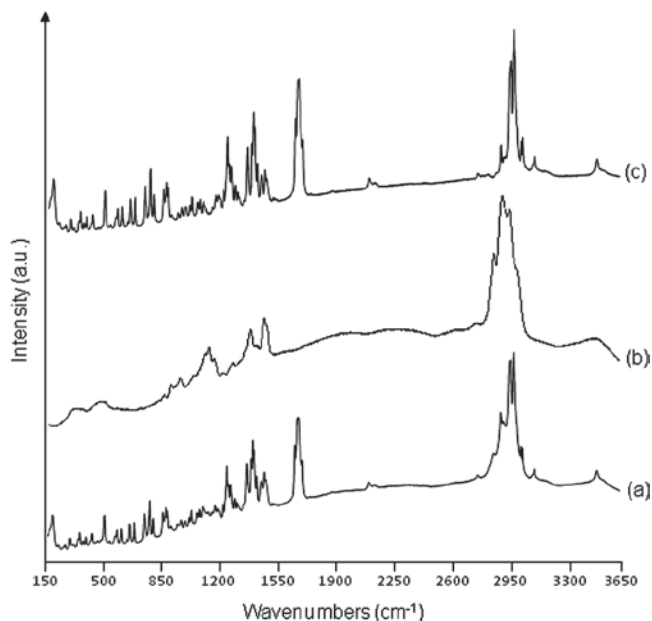


Figure 2. Raman spectra, in the 150–3650 cm^{-1} region, of AZT:HPMC K15M physical mixture (a), pure HPMC K15M (b), and the result of subtraction (c).

is important in terms of tablets. Moreover, there was accordance between friability and hardness assessments (Table S1).

Drug release analysis

Drug release from hydrophilic matrices was found to be affected by: (a) water diffusion through the matrix; (b) drug diffusion through the hydrated matrix; and (c) erosion of the hydrated matrix. The ratios of AZT released from the matrices, for a 12-h period, are depicted in Figures 4–8. As can be observed, the ratio for all formulations does not show statistically significant differences ($p > 0.05$) from unity, in any case.

Polymeric matrices containing ethylcellulose alone (F1–F4) did not attain the desired release profile. Due to total breakdown of the tablet, virtually all the AZT was dissolved after 4 h (Figure 4). However the results suggested that ethylcellulose has the ability to modulate

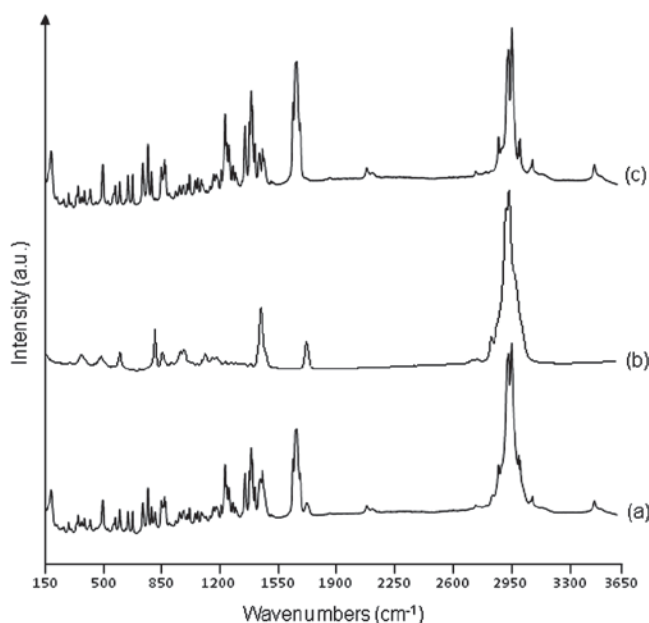


Figure 3. Raman spectra, in the 150–3650 cm^{-1} region, of AZT: Eudragit® RS PO physical mixture (a), pure Eudragit® RS PO (b), and the result of subtraction (c).

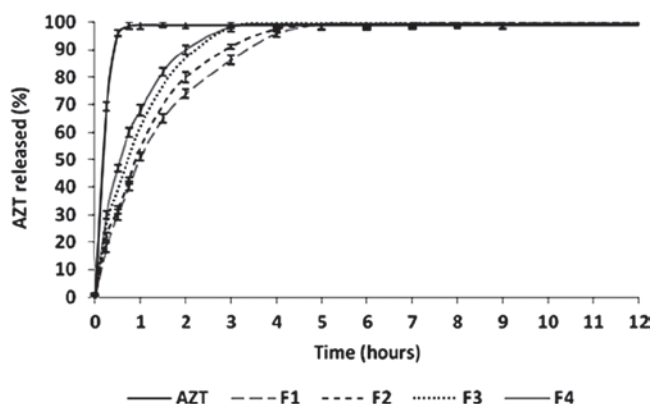


Figure 4. Drug-release profiles for zidovudine formulations containing ethylcellulose.

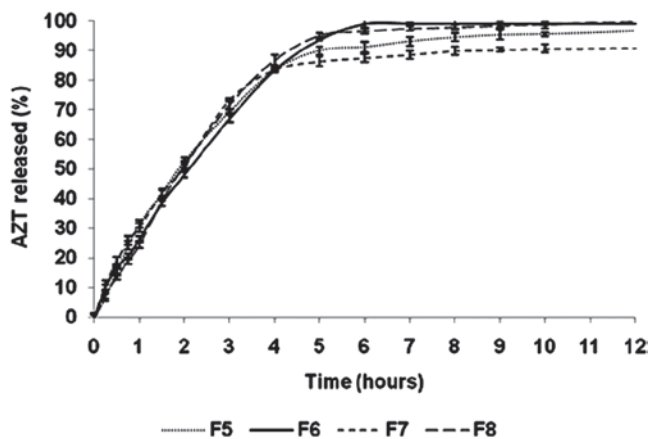


Figure 5. Drug-release profiles for zidovudine formulations containing: Eudragit® RS PO and Eudragit® RL PO.

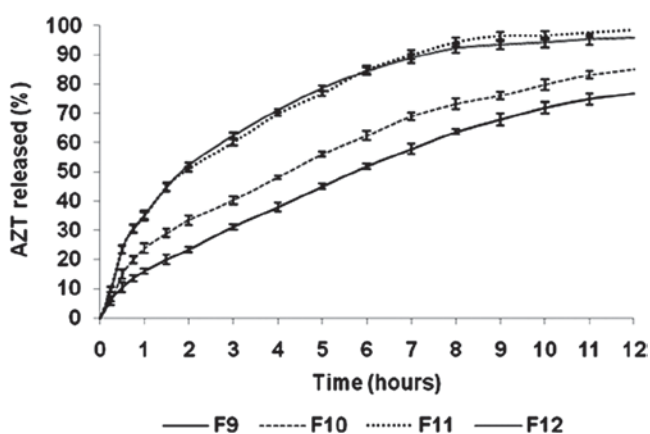


Figure 6. Drug-release profiles for zidovudine formulations containing: HPMC K15M and HPMC K100M.

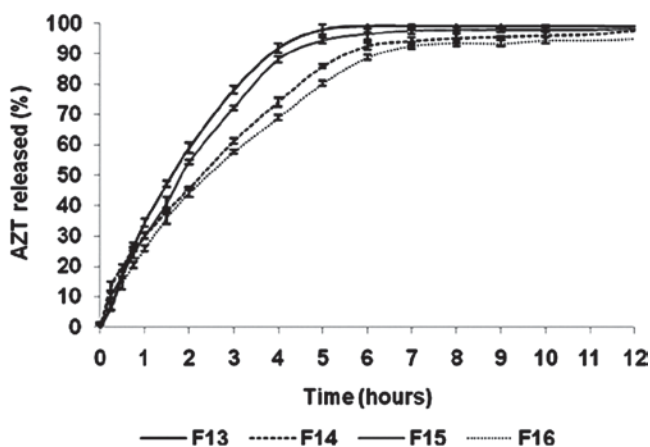


Figure 7. Drug-release profiles for zidovudine formulations containing: EC, Eudragit® RS PO and RL PO.

the dissolution profiles of the other polymers presently studied.

The dissolution profiles of the tablets containing both Eudragit® RS PO and RL PO, in different binary mixtures (F5–F8), evidence a slight dependence on Eudragit® RS PO quantity (Figure 5). Formulations F6 and F8, which

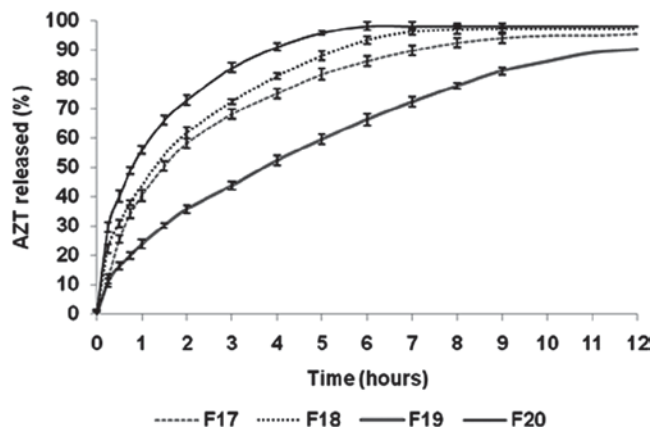


Figure 8. Drug-release profiles for zidovudine formulations containing: HPMC K15M:K100M and Eudragit® RS:RL PO.

have the same amount of Eudragit® RS PO (low permeability) and a roughly 1:2 ratio of Eudragit® RL PO (high permeability), show virtually superimposed release profiles, evidencing a weak influence of the latter. On the other hand, increasing the amount of Eudragit® RS PO (F6, F8 < F5 < F7) causes a detectable decrease in the quantity of drug released (Figure 5). However, although these formulations were shown to be able to prolong the release of antiviral (when compared with those containing only ethylcellulose (F1–F4)), they did not meet the criteria required for an extended release, since more than 80% of the drug was liberated after 4 h.

The use of HPMC, either K100M or K15M, as the sole excipient in the matrix was also tested, but the drug was not released in a 24-h interval. Thus, matrices containing both HPMC K15M and HPMC K100M were then prepared (F9–F12) and their dissolution profile was analyzed (Figure 6). By simple observation, during the release tests, it may be concluded that the drug diffusion coefficient was dependent on the water quantity in the tablets. The diffusion is very slow, since the water may act as a plasticizer or form stable bonds (hydrogen bonds) between these polymers.

Tablets comprising a high quantity of HPMC (F9 and F10) presented a delayed drug release when compared with the ones containing a low proportion of polymers (Figure 6). This confirms that the drug:polymer ratio is an important factor affecting the drug release rates from HPMC matrices^{64–66}. The gel layer that is formed delays further water uptake and the release of the dissolved drug. The percentages of released AZT from the simple HPMC mixtures tested were between 76.0% and 84.1%, after 12 h.

Although the formulations containing only ethylcellulose (F1–F4) correspond to a drug release higher than 90% in 4 h, it was decided to associate this polymer with the Eudragits (F13–F16), as some studies have reported its ability to delay the release process^{67,68}. In fact, the AZT release profiles presently obtained seem to be dependent of the EC:Eudragit® proportion: matrices with a unit ratio (F13 and F15) displaying very similar release

profiles (Figure 7), and as the EC/Eudragit® ratio is increased (1.3 for F14 and 2.8 for F16), the drug release becomes slower. Moreover, the zidovudine release after 12 h appears to be virtually independent of the ethylcellulose quantity (94.0% to 99.1%).

After testing binary and ternary mixtures, quaternary ones were used. Formulations containing both HPMC and Eudragit® (F17–F20) gave rise to AZT dissolution values between 90.5% and 98.1% after 12 h (Figure 8). In general, the process of tablet swelling was very rapid, leading to a fast release of the drug (higher in gastric than in intestinal medium). A significant increase in the zidovudine release rate was observed for decreased HPMC proportions. The best profile appear to correspond to the F19 formulation: it promotes a slightly faster initial drug release coupled to a slower transfer, while allowing the use of over 90% of the drug.

The change in the dissolution medium (from gastric to intestinal) 2 h after adding the initial one, allowed to conclude that different pH conditions do not generate discontinuities in the drug release profile.

It is generally assumed that water soluble drugs are released primarily by diffusion through the “gel layer” and that scarcely water soluble drugs are released mainly through erosion of this layer. Actually, the release mechanism is dictated by the solubility of the drug and both mechanisms contribute to the release. It is the relative input from each process that is controlled by the drug’s solubility⁶⁹.

The analysis of the drug release behavior for the various combinations studied allows verifying that the F9, F10, and F19 mixtures can provide kinetics suitable for extended release formulations, according to the objectives set.

Kinetic mechanism and release parameters

Dissolution tests at different dissolution pH values should be considered, in a preformulation study, in view of assessing the good functionality of the therapeutic system. Drug release is controlled by different mechanisms with diverging kinetics. Using a gelling agent of low viscosity grade (HPMC K15M), erosion of the swollen polymer describes the release mechanism⁷⁰. In turn, if high viscosity polymers are applied (HPMC K100M), a stable gel is formed and polymer erosion is negligible. In addition, the use of water insoluble polymers as tablet excipients partly contributed to the prevention of matrix disintegration.

Usually, both erosion and diffusion contribute to the release of an incorporated drug. The transition between the two mechanisms results in a kinetic process generally described as “anomalous transport,” considered when there is the contribution of both diffusion and relaxation processes^{70–72}. The analysis of present experimental data in the light of the Korsmeyer–Peppas formalism, as well as the interpretation of the corresponding release exponent values (n), leads to a better understanding of the balance between these mechanisms. Moreover, the

kinetics of AZT release was also determined by finding the best fit of the dissolution data (fraction of drug release *versus* time) to distinct models: zero-order, first-order, Higuchi, and Hixson-Crowell. This kind of analysis was performed for F9, F10, and F19 selected formulations: the AZT release profiles (between 10% and 70%) were fitted and the results are summarized in Table 2.

The obtained values for the Korsmeyer-Peppas diffusion exponent n (0.57–0.65) suggest that zidovudine release from F9, F10, and F19 matrices is controlled by both drug diffusion in the hydrated matrix and erosion of the matrix. As a result, good fits to both Higuchi (Fickian diffusion) and Hixson-Crowell models were achieved (the latter being mainly related with changes in the surface area and diameter of the tablets).

Following the well-known Flick's law, zidovudine diffuses through the stable gel layer according to a square root time dependence. In the swelling tablet surface, the water molecules diffuse with more difficulty from these matrices, since at the beginning of the dissolution studies, a gel barrier is formed. On the other hand, the time for the polymer to relax also decreases and the relaxation mechanism becomes more pronounced. The mechanisms of drug release from a polymeric matrix occur in the early stage by polymer swelling and the tablet thickness increases. The results indicated that the mechanism of drug release was diffusion coupled with erosion (anomalous transport).

The release parameters obtained for the selected formulations are listed in Table 3. Although the MDT values are quite similar, those for F9, F10, and F19 formulations are slightly higher than the ones obtained for the remaining formulations (Table S2). In fact, the percentage of HPMC polymer in the tablets may modify the matrix swelling and the release rates. In addition, it is proposed that the rate and the drug release mechanism from HPMC matrices is almost independent of the ionic strength of the medium (pH = 1.2 and pH = 6.8). A significant decrease in drug release can be achieved by using a higher amount of HPMC K15M:HPMC K100M (F9 %_{12h} = 77).

To compare the MDT profiles obtained, the differences between the parameter estimates from each fit are calculated and a joint confidence region around the vector of mean differences is established using the Bonferroni test. The F -test indicated that the general level of the profiles is similar for both batches. Concerning the other F -test, Bonferroni test evidenced that the assumptions about the variance matrix of the F9 and F10 were similar. This means that, according to this test, the general shape of the batches' profiles is different when a level of significance of 5% is considered.

The influence of polymeric matrices on the rate and extent of zidovudine release was evaluated (Table 3). A significant improvement on the release and extension rate of the formulations was observed, with a DE_{2h} of 14.76% to 22.55%. The analysis of variance of the DE values shows that the formulation release profiles under study are not similar ($p > 0.05$).

Table 2. Fitting results of the experimental zidovudine release data to different kinetic equations for selected formulations.^a

| | Zero-order | | First-order | | Higuchi | | Hixson-Crowell | | Korsmeyer-Peppas | | |
|-----|---------------------------|-----------------|--------------------------|-----------------|-----------------------------|-----------------|------------------------------|-----------------|------------------------------|---------------|-----------------|
| | K_0 (%h ⁻¹) | r^2 | K_1 (h ⁻¹) | r^2 | K_H (%h ^{-1/2}) | r^2 | K_{HC} (%h ⁻¹) | r^2 | K_{kp} (%h ⁻ⁿ) | n | r^2 |
| F9 | 6.72 (0.01) | 0.9898 (0.0007) | 0.21 (0.00) | 0.8614 (0.0033) | 26.52 (0.05) | 0.9902 (0.0005) | 73.23 (0.02) | 0.9993 (0.0029) | 15.89 (0.51) | 0.652 (0.039) | 0.9984 (0.0030) |
| F10 | 8.05 (0.01) | 0.9682 (0.0026) | 0.24 (0.01) | 0.7500 (0.0037) | 27.20 (0.06) | 0.9941 (0.0007) | 90.36 (0.00) | 0.9920 (0.0037) | 20.47 (0.43) | 0.643 (0.016) | 0.9669 (0.0009) |
| F19 | 13.37 (0.02) | 0.9800 (0.0003) | 0.27 (0.00) | 0.8585 (0.0016) | 28.42 (0.08) | 0.9988 (0.0003) | 10.02 (0.00) | 0.9956 (0.0031) | 23.76 (0.40) | 0.570 (0.021) | 0.9997 (0.0010) |

^a Values in parenthesis represent the standard deviation; r^2 is the coefficient of determination.

The similarity factor (f_2) has been adopted by US Food and Drug Administration⁵² and European Medicines Agency^{73,74} as a criterion for assessment of similarity between two *in vitro* release profiles. Therefore, in the present study, f_2 was employed to evaluate the release profiles of various formulations.

This type of factor analyses are based on a comparison between the obtained results and the release profile considered as ideal. For the type of matrices used, containing either hydrophilic or hydrophobic polymers, two optimal profiles were considered: (i) an ideal Higuchi profile for a total release of the drug in 12 h ($K_H = 100/12^{1/2}$) and (ii) an ideal target profile based on data from the literature (release after 2 h: 35%; after 4 h: 52%; after 8 h: 75%) according to Table 4^{75,76}.

The f_1 (difference factor) is proportional to the average difference between two profiles, whereas f_2 is inversely proportional to the average squared difference between the two profiles, with emphasis on the larger difference among all time points. In fact, f_2 is a logarithmic transformation of the sum-squared error of differences between the testing drug release T_t and the ideal release R_t over all time points (n). When f_2 was > 50 , the mean deviation over all time points was less than 10%, and the testing profile was believed to be similar to the ideal profile. As f_1 and f_2 are strongly dependent on the last considered release point ($> 85\%$), only the release values between 0% and 85%^{51–54} were accounted for in the analysis (Table 5).

Table 3. Release parameters for selected zidovudine formulations.

| Parameters | Formulations | | |
|------------------------------------|--------------|--------------|--------------|
| | F9 | F10 | F19 |
| $t_{25\%}$ (h) | 2.18 ± 0.87 | 1.24 ± 0.95 | 1.05 ± 0.99 |
| $t_{50\%}$ (h) | 5.90 ± 1.12 | 4.01 ± 0.99 | 3.66 ± 1.03 |
| $\%_{12h}^a$ | 76.92 ± 0.99 | 84.12 ± 1.18 | 90.50 ± 1.09 |
| MDT ^a (h) | 4.53 ± 0.02 | 3.84 ± 0.03 | 3.85 ± 0.04 |
| DE _{2h} ^a (%) | 14.76 ± 1.17 | 20.63 ± 0.96 | 22.55 ± 1.13 |
| DE _{12h} ^a (%) | 48.07 ± 1.23 | 57.61 ± 0.99 | 61.46 ± 0.99 |

^a Mean ± S.D. (six measurements).

Table 4. Zidovudine ideal release profiles for a 12-h release period (see text).

| Time (hours) | Ideal target (%) | Higuchi (%) |
|--------------|------------------|-------------|
| 0 | 0 | 0 |
| 1 | 20 | 29 |
| 2 | 35 | 41 |
| 3 | 44 | 50 |
| 4 | 52 | 58 |
| 5 | 59 | 65 |
| 6 | 65 | 71 |
| 7 | 70 | 76 |
| 8 | 75 | 82 |
| 9 | 80 | 87 |
| 10 | 84 | 91 |
| 11 | 88 | 96 |
| 12 | 92 | 100 |

The F9 f_1 and f_2 values clearly indicate that this formulation does not conform to the desired release profile (Table 5, Figure 9). In turn, formulations F10 and F19 showed a clear similarity regarding both the Higuchi profile and the ideal target profile established in the present work. In particular, F19 which contains a mixture of HPMC and Eudragits® with identical masses and without the use of lactose as a diluent, displays a strong resemblance with the ideal target profile (Figure 9), thus presenting f_1 and f_2 values (2.7 and 82.5, respectively) well within the FDA and EMEA criteria ($f_1 \leq 15$ and $f_2 \geq 50$)^{52,73,74}.

Cell morphology assessment and evaluation of cell growth inhibition and cytotoxicity

The evaluation of the effect of the AZT formulations presently investigated at a cellular level was initially based on a microscopic observation of the Caco-2 cultures, to understand the morphology and typical organization of this cell line, both in the absence and in the presence of the drug. Since these cells have a clear tendency to form colonies, they were plated at an appropriate density to allow this type of distribution. In the drug-free cultures, the isolated cells were found to migrate and start to form small colonies, which steadily increased in size. In the presence of each AZT formulation, in turn, morphological changes were observed, namely disruption of the cellular layer and finally cell lysis.

Furthermore, growth-inhibition and cytotoxicity evaluation tests were carried out for each of the AZT solid matrices studied, as well as for the pure drug (for

Table 5. Difference (f_1) and similarity (f_2) factors for a 0–85% of AZT release from selected formulations, relative to both Higuchi and target ideal release profiles.

| Factors | Formulations | | | | | |
|---------|--------------|--------|---------|--------|---------|--------|
| | F9 | | F10 | | F19 | |
| | Higuchi | Target | Higuchi | Target | Higuchi | Target |
| f_1 | 28.0 | 20.0 | 12.7 | 4.4 | 7.5 | 2.7 |
| f_2 | 36.9 | 45.8 | 53.2 | 74.4 | 64.6 | 82.5 |

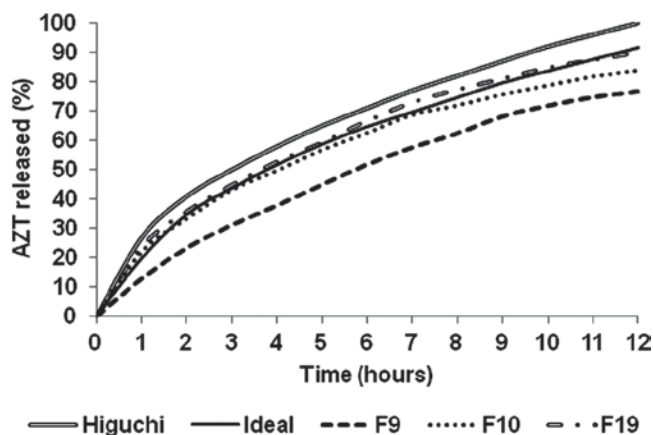


Figure 9. Drug-release profiles for some zidovudine formulations compared with both ideal release profiles (see text).

comparison purposes). It was verified that exposure of the Caco-2 cells to the distinct formulations F9, F10, and F19, and to AZT alone, led to a marked viability decrease (up to 85%) according to a time-dependent profile (Figure 10). Also, the matrix formulations displayed a lower cytotoxic effect as compared to the pure drug (no significant statistical difference having been found between these results).

Reversibility of the cytotoxic effect

The reversibility of the cytotoxic effect of zidovudine and its formulations F9, F10, and F19 against the Caco-2 cell line was assessed, by the ability of these cells to resume their normal growth process after incubation with the drug.

Upon incubation of the cells with the tested formulations for a 48-h period, the drug-containing medium was replaced by fresh, drug-free medium. The morphological aspect of the culture and the cell viability were then assessed, at different time points (3, 6, 9, 12, and 24 h), to evaluate the reversibility of the drug effect (Figure 11). This was found to be lower for formulation F19 (namely after 9, 12, and 24 h upon drug removal). Pure AZT displays only a slightly lower reversibility relative to these formulations – ca. 10% for AZT versus 15% for F19.

The results presently reported evidence of quite a high reversibility of the effect exerted by these AZT formulations against the Caco-2 cell line, according to the order: F9 > F10 > F19. The maximum loss of cell viability measured, that is, the maximum percentage of cells that did not show the ability to reverse the cytotoxic effect of the drug, was found to be 17%, for formulation F19. In fact, while for preparations F9 and F10 this effect was completely reversed after 8 to 10 days of drug removal, for F19 recovery of normal cell growth was only verified after 21 days in the absence of zidovudine (Figure 12).

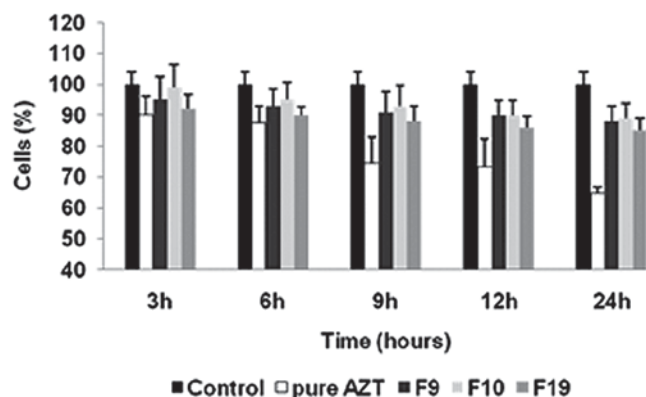


Figure 10. Time dependence of the cytotoxic effect of pure zidovudine and the zidovudine formulations F9, F10, and F19 toward the Caco-2 cell line, for a drug concentration of 100 μM , 92 μM , 97 μM , and 99 μM , respectively. Cell viability was evaluated by the SRB colorimetric assay (as described in the “Materials and methods”). (The results are expressed as a percentage of the control (100%), and represent the mean \pm SD for three independent experiments performed in triplicate; $p=0.53$, $F=0.80$, with $F_{\text{critical}}=2.49$.)

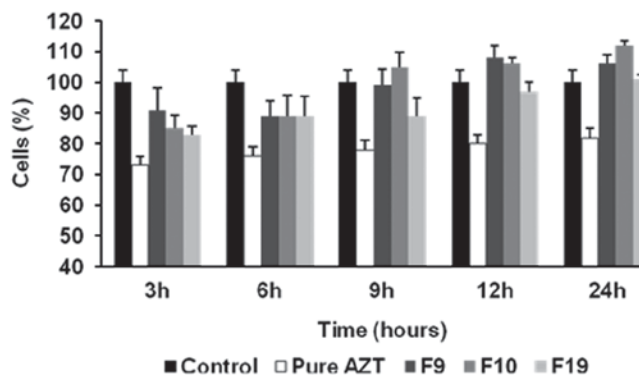


Figure 11. Reversibility of the cytotoxic effect of pure zidovudine and the zidovudine formulations F9, F10, and F19 toward the Caco-2 cell line, for a drug concentration of 100 μM , 92 μM , 97 μM , and 99 μM , respectively. Cells were washed and fed with fresh media, 48 h following addition of the drug. Cell viability was evaluated by the SRB colorimetric assay (as described in the “Materials and methods”). (The results represent the mean \pm SD of three independent experiments, performed in triplicate; $p=0.02$ and $F=3.03$, with $F_{\text{critical}}=2.54$.)

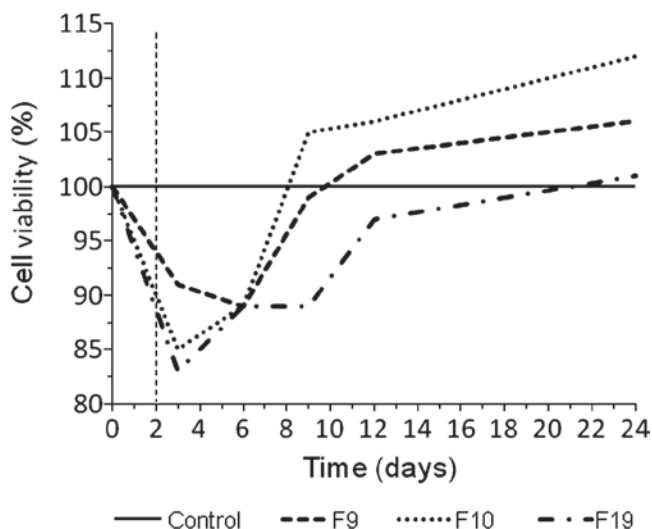


Figure 12. Graphical representation of the reversibility of the cytotoxic effect exerted by pure zidovudine and the zidovudine formulations F9, F10, and F19 toward the Caco-2 cell line, for a drug concentration of 100 μM , 92 μM , 97 μM , and 99 μM , respectively. Cell viability was evaluated by the SRB colorimetric assay (as described in the Materials and Methods). The dashed line represents the removal of the drug.

Conclusion

Although hydrophilic swellable polymers (e.g., HPMC) are widely used to control the release of drugs from solid matrix formulations, they do not comply with the required AZT release profile when used alone. Therefore, it is presently suggested to combine swellable polymers with nonswellable ones, aiming at a modulation of the liberation process according to the desired release profile.

The hydrophobic polymer Eudragit® was tested, since it was verified that it was suitable as a matrix agent and allowed a direct compression preparation, which is both

simple and economical for industrial uses. All examined formulations yielded tablets with good and reproducible technological properties. The evaluation of the release mechanisms evidenced a non-Fickian (anomalous) transport for all tested formulations. Formulation F19, containing 5% of each excipient – HPMC K15M, HPMC K100M, Eudragit® RS PO, and Eudragit® RL PO – was found to be the one yielding the best release profile, that is, displaying a higher similarity f_2 factor relative to the two ideal target profiles considered. Moreover, this formulation is lactose-free, which is particularly important since most of the patients, especially HIV ones, may develop lactose intolerance.

The changes presently observed in the human cancer cell line Caco-2 upon administration of zidovudine, either alone or in polymeric formulations, were determined, regarding cell growth and viability as well as cell morphology. Overall, the presence of the polymers was found to decrease the drug toxicity toward these cancer cells, as compared to pure AZT. Formulation F19 was found to be the most effective toward these cells, causing a viability loss of ca. 15%, 24 h after drug administration. Furthermore, the cytotoxicity screening presently carried out revealed a quite high reversibility of this effect, F19 yielding the less reversible viability loss – 21 days to complete recovery in the absence of drug.

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